

A screening tool for the diagnosis of severe obstructive sleep Apnea in acute coronary syndrome

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Abstract

Background: Obstructive sleep apnea (OSA) is a well-known cardio-vascular risk factor. However, there is no currently prominent screening strategy for its diagnosis in patients with acute coronary syndrome (ACS). The aim of this study was to establish a screening strategy for OSA in patients who present an ACS.

Methods: From January 1st to June 30th 2017, we created a prospective cohort including all patients admitted to our Coronary Care Unit for a first myocardial infarction, without a previously-diagnosed OSA. The primary endpoint was the diagnosis of a severe OSA defined by an apnea-hypopnea index ≥ 30 /h observed during a polysomnography performed remote from the ACS.

Results: Fifty three patients (60 \pm 10 years, 81% men) were included prospectively over the study period. ST elevation myocardial infarction (STEMI) was present in 66% of cases. The primary endpoint was found in 57% of patients. Multivariate analysis identified an occluded infarct-related artery (IRA) and waist perimeter (WP) as predictors of severe OSA (OR, 6.33, 95% CI, 1.48-27.18, $p=0.01$ and OR, 1.10, 95% CI, 1.02-1.20, $p=0.02$, respectively). A decision tree was established including a history of hypertension, WP and the presence of an occluded IRA, with a sensitivity of 70%, a specificity of 83%, a positive predictive value of 84% and a predictive negative value of 68%.

Conclusion: In the present study, WP and the presence of an occluded IRA are independently associated with the presence of severe OSA. The algorithm has a good specificity for identifying patients who need to be remotely screened for OSA.

Introduction

Coronary artery disease (CAD), of which acute coronary syndrome (ACS) is the most severe manifestation, belongs to the leading causes of death in industrialized countries [1]. During the past 20 years, the management and prognosis of ACS have changed dramatically, with a decline in mortality of more than 50% [2]. In the acute phase, the development of primary angioplasty has significantly improved prognosis [3,4]. In stable CAD, identification and appropriate management of cardiovascular risk factors have slowed the progression of arteriosclerotic disease [5].

Lately, obstructive sleep apnea (OSA) syndrome has been identified as a potentially modifiable risk factor for cardiovascular disease. Observational and randomized studies performed on small-sample populations demonstrated that OSA aggravates the progression of stable coronary artery disease and promotes the occurrence of acute decompensations, such as ACS [6-10]. On the other hand, its management has a positive impact on reducing the incidence of cardiovascular events, especially coronary events [6,11-13].

Screening for OSA is especially recommended for obese and drowsy patients with stable CAD, but currently there is no validated systematic strategy in patients with ACS [14-18]. Screening is usually based on the Berlin questionnaire and on the daytime sleepiness questionnaire, as assessed by the Epworth Sleepiness Scale [19,20]. Nevertheless, these questionnaires are presently less suitable in ACS patients, who have less typical symptoms [21].

As for the screening method, although overnight oxymetry is often used in clinical practice, it does not allow evaluation of the cardiovascular response to apnea/hypopnea, and polysomnography with a continuous-lead ECG is the gold standard for the diagnosis. However, this test is expensive and sometimes not widely-available [22].

The objective of the present study was to investigate a novel noninvasive and bedside screening strategy that is less expensive and more widely applicable than standard polysomnography.

Methods

Study design

This was a prospective cohort study. Patients who presented with ACS to our single-center 20-bed Coronary Care Unit (CCU) from the 1st of January to the 30th of June 2017 were included. Patients were referred to our primary percutaneous coronary intervention (PCI) center with a diagnosis of ACS established in accordance to standard guidelines. Inclusion criteria were: age > 18 years and the presence of

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ongoing symptoms for less than 12 hours. Exclusion criteria were: past medical history of ACS or OSA, hemodynamic instability, inability to perform a future polysomnography test (dementia, bedridden patient, any legal protection measure) and inability to obtain an informed consent. All patients underwent systematic OSA screening with a polysomnography test performed during an out-of-hospital admission, two months after the primary PCI. The primary endpoint was the presence of a severe OSA, defined as an apnea-hypopnea index (AHI) $\geq 30/h$.

All patients gave written informed consent for the procedures and research protocol, which was approved by an independent ethics committee. (CEPRO 2017-044 of the French-speaking Society of Pneumology.)

Data collection

At admission ACS was diagnosed according to the current guidelines [14,15]. Additional data were also collected: associated diseases, cardio-vascular risk factors, anthropometric data (weight, height, neck circumference, WP, body mass index-BMI). The following validated scores were recorded at admission: Epworth Sleepiness Scale (ESS) [23,24], Berlin Questionnaire for the evaluation of OSA probability [20] and the Pittsburgh Sleep Quality Index (PSQI) score which evaluates the quality of sleep [25].

During hospitalization, echocardiographic and angiographic data (culprit coronary artery, angiographic flow assessed by TIMI score) were recorded. An occluded IRA was defined by a pre-PCI TIMI flow grade 0-1. Coronary angiograms and the SYNTAX score were verified by 2 independent operators.

Sleep study

After the first hospital admission, all polysomnographies were performed using an Cidelec device (CID102L8D) by three dedicated and trained practitioners. The following paraclinical test were performed: electroencephalograms, submental electromyogram, electrocardiograms, chest and abdominal movement recording using respiratory effort bands, body position monitoring, oronasal airflow monitoring using a flow-sensor, and arterial oxygen haemoglobin saturation monitoring using a pulse oximeter. Scoring criteria for the respiratory events were in accordance to standard guidelines [26]. The AHI was calculated by dividing the number of the episodes of apnea and hypopnea by total sleep time (TST). An obstructive apnea/hypopnea and the severity of the OSA were defined according to the current cut off levels (AHI of 5-15 = mild OSA, AHI of 15-30 = moderate OSA, AHI >30 – severe OSA) [27-29].

The oxygen desaturation index (ODI) was defined as the hourly average number of desaturation episodes. The severity of these desaturation episodes were characterized by the time spent with oxygen saturation < 90 percent ($T < 90\%$). It is expressed in minutes or percent when divided by TST. The number of periodic leg movements per hour and the micro-awakenings index (micro-awakenings per hour) were also collected. Follow-up data at 6 months were collected by telephone call either directly from the patient, or from the attending physician or cardiologist. Recorded events were: death, recurrence of ACS, occurrence of atrial fibrillation and stroke.

Statistical analysis

Values are presented as mean \pm standard deviation (SD) or number (%), as appropriate. Univariate comparisons were performed using

Mann-Whitney U test for continuous variables and Chi-2 or Fisher's exact test for categorical variables, as appropriate. The independent contribution to the presence of a severe OSA by parameters recorded at admission and during hospital stay was analyzed by a backward stepwise multivariate analysis using a binary logistic regression model.

The dependent variable was the diagnosis of a severe OSA defined as AHI ≥ 30 .

Predictor variables were all variables with p values of less than 0.10 in the univariate regression analysis, as well as those with a known association with a severe OSA (i.e. age and diabetes) were forced in the model.

We set the p value >0.10 to eliminate confounding factors from the regression equation. Odds Ratios (OR) were estimated with 95% confidence intervals (95% CI).

R software version 3.3.0 was used for all analysis. The R module of the R software was used to create a classification tree. The variables included in the model were the same as those used for the multivariate analysis.

A p value <0.05 was considered statistically significant.

Results

Population

During the study period, 136 patients were referred to our CCU for primary PCI in a context of an ACS <12 hours. Of these patients, 53 patients were able to undergo complete polysomnography remote from hospitalization (Figure 1). Among them, 30 (57%) had severe OSA. Baseline characteristics are shown in Table 1. The mean age was 60 ± 10 years, with a large predominance of male sex (81%). The mean BMI was 28 ± 4 kg/m²; less than 20% of patients had diabetes mellitus.

ACS

ACS-related data are presented in Table 2. Almost 2/3 of the ACS were STEMI, with no significant difference in the severity of the OSA.

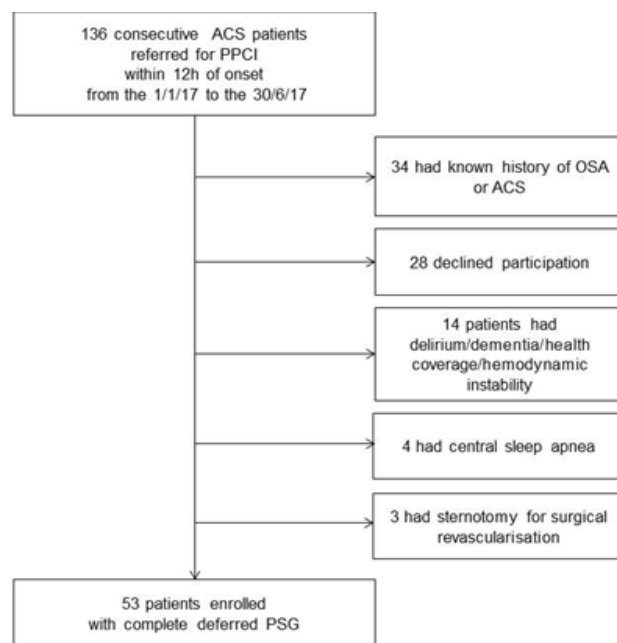


Figure 1. Patient selection procedure.

Table 1. Baseline characteristics of patients according to the diagnosis of severe OSA; Data are expressed as mean \pm SD or number (%). CAD indicates coronary artery disease; COPD, Chronic obstructive pulmonary disease; ESS, Epworth Sleepiness Scale; PSQI, Pittsburgh Sleep Quality Index; BP, blood pressure; EF, left ventricular ejection fraction.

	Overall (n=53)	Severe OSA (n=30)	Non-severe OSA (n=23)	p-value
Age, y	60 \pm 10	61 \pm 10	58 \pm 9	0.2
Male gender	43 (81)	25(83)	18(78)	0.73
BMI, kg/m ²	28 \pm 4	29 \pm 4	28 \pm 4	0.22
Neck circumference, cm	41 \pm 3	41 \pm 3	41 \pm 3	0.28
Waist circumference, cm	106 \pm 10	109 \pm 10	102 \pm 9	0.01
Cardiovascular risk factors				
Hypertension	20 (38)	15(50)	5(22)	0.05
Diabete mellitus	9 (17)	6(20)	3(13)	0.72
Dyslipidemia	22 (42)	12(40)	10(44)	1
Current smoker	24(45)	14(47)	10(44)	0.8
Comorbidities				
Known CAD	11(21)	7(23)	4(18)	0.74
Previous stroke	3(5)	2(7)	1(5)	0.24
Known peripheral artery disease	5(9)	2(7)	3(4)	0.47
COPD	2(4)	1(3)	1(4)	1
Sleep-related factors				
ESS score	7 \pm 4	7 \pm 4	6 \pm 3	0.2
Berlin Questionnaire >1	23(43)	16(53)	7(30)	0.16
PSQI score	6 \pm 3	6 \pm 3	6 \pm 3	0.73
Vital signs at admission				
Heart rate, beats.min ⁻¹	75 \pm 13	75 \pm 13	75 \pm 12	0.93
Systolic BP, mmHg	134 \pm 22	135 \pm 22	133 \pm 22	0.88
SpO ₂ , %	97 \pm 2	97 \pm 2	96 \pm 3	0.19
Killip class I	48(91)	27(90)	21(91)	1
Laboratory results				
Peak troponin I, ng/mL	45 \pm 52	51 \pm 32	36 \pm 34	0.8
NT-proBNP, ng/mL	1407(2660)	1498(3104)	1288(2000)	0.65
Creatinine, μ mol/L	80 \pm 19	82 \pm 21	75 \pm 15	0.16
HbA1c, %	6.4 \pm 1.3	6.5 \pm 1.5	6.2 \pm 0.9	0.36
Low-density lipoprotein, g/L	1.3 \pm 0.5	1.3 \pm 0.5	1.3 \pm 0.4	0.87
Echocardiography				
LVEF, %	56 \pm 10	56 \pm 10	57 \pm 9	0.77

Table 2. Acute coronary syndrome and procedural characteristics according to the diagnosis of severe OSA; Data are expressed as mean \pm SD or number (%). ACS indicates acute coronary syndrome; STEMI, ST elevation myocardial infarction; NSTEMI, non ST elevation myocardial infarction; UA, unstable angina; LAD, left anterior descending artery; LCx, left circumflex artery; right coronary artery; PCI, percutaneous coronary intervention.

	Overall (n=53)	Severe OSA (n=30)	Non-severe OSA (n=23)	p-value
Type of ACS				0.51
STEMI	35 (66)	19(63)	16(69)	
NSTEMI	15 (28)	10(33)	5(22)	
UA	3(6)	1(4)	2(9)	
Infarct-related artery				0.42
LAD	25(47)	12(40)	13(58)	
LCx	12 (23)	7 (23)	5(21)	
RCA	16(30)	11(37)	5(21)	
Number of diseased vessels				0.4
1	25(47)	13(43)	12(52)	
2	19(36)	13(43)	6(26)	
3	9(17)	4 (14)	5(21)	
SYNTAX score	12 (9)	14(11)		0.19
Pre-PCI TIMI flow grade 0-1	23(43)	17(57)	6(26)	0.049
Stenting	50(94)	27(90)	22(97)	0.81
Post-PCI TIMI flow grade 2-3	52(98)	29(97)	23(100)	1

The left anterior descending artery was responsible for the infarction in nearly half of the cases. Angiographic analysis revealed mostly single vessel disease with a mean SYNTAX score of 12 ± 9 . The artery was occluded in 43% of cases.

Polysomnography

All patients underwent complete polysomnography at 69 ± 12 days from PCI. The mean ESS was < 11 (7 ± 4). Figure 2 shows the distribution of AHI in the studied population. The mean AHI was $34/h \pm 16$, with an apnea index of $15/h \pm 15$. Regarding cortical repercussion and sleep fragmentation, the micro-awakening index was $19/h \pm 13$ with $15/h \pm 16$ periodic leg movements per hour. Concerning intermittent hypoxia, the ODI was $22/h \pm 16$ with a $T < 90\%$ (minutes, percentage) at 30 ± 44 min and $10 \pm 17\%$ of TST.

Outcomes

Of the 30 patients with severe OSA, 27 (90%) received continuous positive airway pressure (CPAP) treatment and two patients a mandibular advancement device. According to data collected at 6 months, the recurrence of ACS was 2%. There was no other adverse event.

Univariate, multivariate analysis and the decision tree

In univariate analysis (Table 3), a history of hypertension, WP, and the finding of an occluded artery on angiography were related to the presence of a severe OSA. The BMI, the Berlin Questionnaire and the SYNTAX score were not related to the primary endpoint (Respectively OR, 1.10, 95% CI 0.95-1.26, $p = 0.21$, OR, 2.92, 95% CI 0.89-9.58, $p = 0.08$ and OR, 1.05, 95% CI 0.98-1.30, $p = 0.20$). After adjusting for age and diabetes, forced variables in the multivariate backward stepwise regression model, only WP and the presence of an occluded artery on coronary angiography were associated with the diagnosis of a severe OSA. (Respectively OR, 1.10, 95% CI, 1.02-1.20, $p = 0.02$ and OR, 6.33, 95% CI, 1.48-27.18, $p = 0.01$).

Figure 3 shows the classification tree that allows the prediction of the primary endpoint, taking into account age, a history of hypertension, a history of diabetes, WP, and the presence of an occluded IRA at coronary angiography. Only WP, a history of arterial hypertension and TIMI flow of the ACS artery were eventually selected

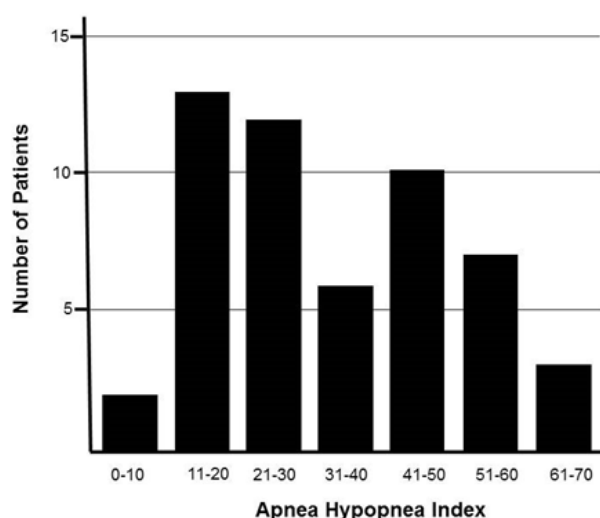


Figure 2. Distribution of Apnea-hypopnea index among 53 study patients.

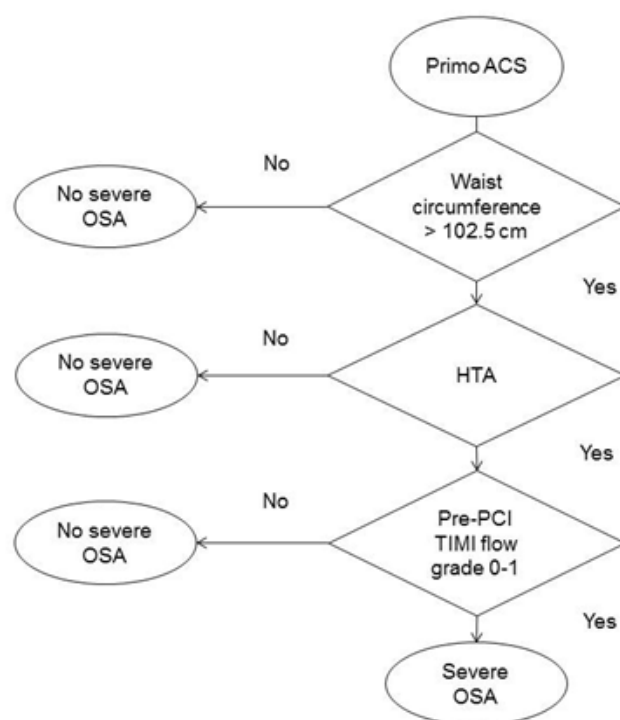


Figure 3. Cart decision tree for the screening of severe OSA among 53 patients sample.

as relevant variables. The present classification tree was able to exclude the primary endpoint for patients with a waist perimeter ≤ 102.5 cm. It also predicted the absence of the main criterion for patients with a waist perimeter > 102.5 cm but without a history of hypertension or an occluded IRA. It predicted the presence of the primary endpoint for WP > 102.5 cm with hypertension, and for patients without hypertension but with an occluded IRA. The sensitivity of the model is 70% and its specificity 83%. The positive predictive value is 84% and the negative predictive value is 68%.

Discussion

The main result of the present study is that WP and an occluded IRA are independently associated with severe OSA. In cases where WP is > 102.5 cm, along with a history of hypertension and an occluded IRA, the regression tree gives a bedside clinical tool while predicting a severe OSA with a specificity $> 80\%$.

The presence of a severe OSA defined by an $IAH \geq 30$ was found in 57% of cases. In patients with ACS, depending on the IAH cut-off value, the prevalence of OSA ranges from 37% to 66% [28,30]. Our choice of a primary endpoint with an AHI threshold ≥ 30 is relevant for several reasons. The deleterious effects of OSA on coronary artery disease are only found in patients with severe OSA ($IAH \geq 30$), a finding demonstrated in a cohort of over 4000 patients [31]. It is also from this level of severity that the recovery of the left ventricular ejection fraction after an ACS is impaired [32]. Finally, although the dose-response effect of CPAP treatment is not fully established, it is difficult to believe that an asymptomatic patient will tolerate ≥ 4 h / night of treatment [33].

Some of the classic characteristics of the OSA phenotype are found in our cohort, such as a history of hypertension and an increased abdominal perimeter. Hypertension can be explained by the vascular response to OSA-related sympathetic hyperactivation via intermittent hypoxia and sleep fragmentation [34]. Similarly, visceral obesity is a

Table 3. Predictors of severe OSA in patients with acute coronary syndrome.

	Odds Ratio	Univariate 95% CI	p-value	Odds Ratio	Multivariate 95% CI	p-value
Age	1.04	0.98 – 1.10	0.2	1.07	0.99-1.16	0.1
Diabete mellitus	1.67	0.37 – 7.53	0.51	0.62	0.10-3.81	0.62
HTA	3.6	1.06 – 12.22	0.04	-	-	-
Waist perimeter	1.1	1.01 – 1.18	0.02	1.1	1.02-1.20	0.02
Baseline TIMI, flow grade 0-1	3.71	1.14 – 12.03	0.03	6.33	1.48-27.18	0.01

known aggravating factor of OSA, especially through the reduction of upper airway size caused by tongue fat [35,36]. On the other hand, a history of diabetes was only found in 17% of cases in our series, while the prevalence of diabetes is closer to 25% for STEMI American population [14]. In several reported series, diabetes is an independent risk factor of OSA [30,37,38]. However, this fact was taken into account in our study, and a multivariate model adjusted for diabetes was used.

A significant finding is the strong link between a baseline TIMI flow grade 0-1 and a severe OSA. The TIMI flow before primary angioplasty is related to the final size of the infarct [39]. In the setting of ACS revascularized by primary PCI, Buchner et al. reported that OSA was also associated with a larger infarction size at 3 months [13]. Some preclinical studies have demonstrated the impact of OSA on increased platelet aggregation, hypercoagulability and endothelial dysfunction [40-42]. This could account for why an arterial occlusion is more common in severe OSA. However, the impact of OSA on the infarct size is debated. By exposure to repeated nocturnal desaturations, the myocardium could be preconditioned, resulting in a reduction in the size of the infarct [43]. Thus, the T < 90% but not the AHI would appear as predictor of adverse cardiovascular outcomes in a large cohort of more than 10,000 patients [27]. A possible explanation for these divergent observations could be the presence or absence of a collateral flow when the artery is occluded. Indeed, it is one of the major determinants of the size of the infarct [44]. In our series on 20 occluded arteries, very few were collateralized (3 patients data not shown).

Regarding the screening strategy proposed in our study, it is based on an average time of 2 months between the primary-PCI and the polysomnography. The delay between PCI and polysomnography ranged from 43 to 72hrs in most OSA studies in the setting of an ACS [28,43,45]. Kosuke, et al. report a significant decrease in AHI between the acute phase of the ACS and the chronic phase (day14) [46]. OSA and ACS have an obvious but unequivocal correlation since the OSA may also exacerbate pre-existing CAD [47,48]. Finally, various factors can bias the polysomnographic evaluation in the acute state, such as concomitant medications and heart failure.

We acknowledge that our study has some limitations. Our study was carried out in a single centre on a limited population sample. Another limitation is the fact that the prevalence of diabetes found in our study was lower than in other OSA studies carried out in the setting of an ACS. However, our population included patients with a first episode of myocardial infarction. The proposed strategy from the current study needs to be tested on a larger population of patients to confirm our findings.

Conclusion

Waist perimeter and an occluded IRA are independently associated with severe OSA in patients presenting with a first ACS without prior known OSA. To our knowledge, our study is the first to propose a screening strategy with a remote polysomnography in patients with WP > 102.5cm, a history of hypertension, and an occluded IRA.

The proposed regression tree provides a bedside clinical tool while predicting a severe OSA with a specificity > 80%.

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