# **Research Article**



# Advances in the study of CAR-T in digestive system malignancies

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### Abstract

In recent years, tumor immunotherapy has obtained remarkable outcomes in the treatment of hematologic malignancies, tumor immunotherapy has been gradually applied to digestive system malignancies (DSMs) and has achieved some results. Chimeric antigen receptor T cells (CAR-T) is a gene-engineered receptor technology that integrates the antibody fragment of malignancy antigen and T cell activation domain to target and kill malignancy cells. CAR-T has been proved to be a strong killer of malignancies in B-cell leukemia and lymphoma. Therefore, a large number of research centers around the world have begun to focus on the application of CAR-T technology in DSMs, and their research has confirmed that CAR-T technology has a clear effect on the treatment of DSMs. Through reading a large number of literatures, we summarized the progress of CAR-T technology and its application in DSMs.

# Introduction

All the time, the incidence of malignancy has been on the rise and has become a major public health problem worldwide. The malignancy statistics shown that in 2018, there were 1735,350 new malignancy cases and 609,640 malignancy deaths in the United States [1]. Statistics shown that there were 4300 000 new malignancy cases and 2900 000 malignancy deaths in China in 2018. Compared with the United States, China had a lower incidence of malignancy, but a higher mortality of malignancy. 36.4 percent of malignancy-related deaths were from DSMs. By comparison, malignancy deaths of the digestive system account for only 5 percent of all malignancy deaths in the United States. The high mortality of the DSMS in China is mainly due to the low diagnosis rate of early malignancy and the inconsistent treatment strategies [2]. The early diagnosis rate of DSMS is low, and the treatment methods adopted in the face of advanced cases mainly include surgery, radiotherapy, and chemotherapy. Some of these patients miss surgery, so the 5-year survival rate for such patients is low. Facing with this situation, new treatments are urgently needed. In recent years, the immune therapy in the treatment of malignancy has obtained the remarkable results, including immune checkpoint inhibitors, monoclonal antibodies, activated lymphocyte cell factor, tumor vaccines, soluble malignancy viruses and adoptive T cell therapy (ACTs). The ACTs in the field of malignancy treatment have turned into a revolutionary strategy [3]. After the success of CAR-T immunotherapy in B-cell-originated hematologic malignancies such as leukemia and lymphoma, CAR-T technology has also injected new vitality into immunotherapy for DSMS.

Two kinds products (Kymriah and Yescarta) of targeted CD19 have been developed on the basis of the CAR - T technology, approved by the United States food and drug administration (FDA) for the treatment of B cell acute lymphoblastic leukemia and B cell lymphoma [4]. However, due to the heterogeneity of tumors in the digestive system and the lack of specific antigens, CAR-T therapy currently faces many obstacles, such as the microenvironment of malignancy immunosuppression, targeted extracellular toxicity and limitation of specific antigens [5]. Researchers have applied a number of strategies and methods to try to overcome these obstacles, including knocking out PD-1 expression, improving CAR-T structure, and combining CAR-T with other therapies [6-8]. Despite these efforts, no CAR-T product have so far been clinically approved for the treatment of tumors in the digestive system. It is full of confidence that there are many clinical trials of CAR-T therapy for DSMS in the world [9], and Chinese CAR-T clinical studies on DSMS account for the majority. Here we focus on the current CAR-T technology, obstacles, strategies to overcome these obstacles, and review the current status of CAR-T therapy for DSMS.

# CAR-T immunotherapy technology

CAR-T were genetically engineered by introducing the required chimeric antigen receptors (CARs). The basic CAR structure includes tumor antigen specifically binding domain (single-chain variable fragment, scFv), extracellular hinge domain, transmembrane domain, and intracellular signal domain [10,11]. Hinge and transmembrane domains are the connection between scFv and intracellular domains and play a crucial role in firmly fixing the entire CAR structure on the T cell membrane and transmitting activation signals from scFv to T cell [12]. Intracellular structure domain mainly includes the CD3  $\zeta$  immune receptor tyrosine base on activation sequence (ITAM) structure domain, taking charge the activate downstream signals with scFv. These signals are used to activate cytotoxic T cell against malignancy cells [13,14].

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# The basic structure of CAR-T

The first-generation CAR is mediated by T cell activation through CD3  $\zeta$  tyrosine activation on the chain motif [15]. CD3  $\zeta$  provides signals for T cell activation and proliferation surround of tumor cells. However, studies have indicated that first-generation CAR has limited antitumor activity in vivo due to poor T cell activation [16,17]. The second-generation CAR connects new co-stimulatory signal to intracellular regions, which expands the activation signal of T cells [18]. A number of studies have demonstrated that the second-generation CAR combined with the co-stimulation signal has the uniform antigenspecific characteristic as the first-generation CAR, and the increased secretion of anti-apoptotic proteins also leads to the delay of T cell apoptosis with the stronger proliferation of T cells and more cytokine secretion. The most commonly used costimulatory molecules are CD28, CD137(4-1BB) and OX40. For strengthening the design of CAR-T, the third generation CAR was developed in the study, adding additional costimulus signals on the basis of the second-generation CAR. A number of studies have also used CD28 and CD137 co-stimulation domains simultaneously to achieve stronger and more durable T cell activation states (Table 1). Researchers have compared the results of the secondgeneration and third-generation CARs, and acquired satisfactory results [19]. They found that CAR-T connected to three intracellular signaling domains (CD3, CD28, and CD137) was better at promoting cytokine release than just one or two of these domains. However, studies on the construction of second-generation CAR T cells have found that CD28-CAR T cells can induce T cell proliferation and growth for longer, and CD137-CAR T cells lead to early failure, limiting the anti-tumor function of the cells [20-22]. Therefore, in addition to the structure of CAR-T, the function of the connected domain also plays an important role in the function of CAR-T.

#### Improved structure of CAR-T

Faced with the heterogeneity and immunosuppressive microenvironment of solid malignancies, researchers developed the fourth generation CAR, which were further constructed or induced

Table 1. Summary of preclinical studies on CAR-T in DSMS

to release the transgenic products to target malignancy tissues when the CAR-T acted [23,24]. Local release of immunoregulatory molecules, such as cytokines (IL-12, IL-18) [25], which can improve the immunosuppressive malignancy microenvironment. IL-12 is a cytokine that is often overexpressed in CAR-T. It is an effective molecule that can enhance the secretion of interferon, granase and perforin by T cells, and recruit NK cells from byliners to eliminate malignancy cells that are not identified by CARs [26,27]. CAR-T assembled with IL-12 have shown higher anti-tumor efficacy and proliferation in several preclinical research, particularly in solid malignancies, compared with conventional CAR-T [28-30]. A phase I clinical trial using a targeted Muc16 CAR-T cell that secretes IL-12 has been conducted, with no adverse event observed, although the results reported show little clinical benefit [31]. Another cytokine is IL-18, which can induce T cells to express IFN [32]. In preclinical models, IL-18 expression can also increase the cytotoxic activity and amplification of T cells [33,34]. Therefore, the fourth generation CAR-T enhanced its anti-tumor ability by adding positive regulatory anti-tumor cytokines to CAR. It shown good ability in improving T cells enrichment, infiltration, and recruitment of peripheral immune cells to kill tumor cells in solid malignant tumors and is expected to become an important mean for the treatment of solid malignant tumors.

The application of CAR-T technology in the treatment of patients with advanced malignancies is also facing new challenges. On the one hand, due to the damage of malignancy itself and chemoradiotherapy, the function of organs is weak, and the immune environment of the body is unbalanced in these patients, which may lead to difficulties in the extraction and separation of peripheral blood T cells and poor quality of the separated T cells. On the other hand, the long design in vitro and culture cycle of CAR-T and its high cost, as well as the risk of production failure, which will greatly affect the therapeutic effect of malignancy. The researchers found that these limitations could be eliminated by the technology of allogeneic T cells. Whereas endogenous TCR on allogeneic T cells recognizes alloantigen receptor, resulting in graft versus host disease. In addition, human leukocyte antigen (HLA)

Antigen	Malignancy types	The structure of the CAR - T	Co-stimulus domain	Reference	
AFP	HCC	second generation	CD28	Liu et al., [105]	
CEA	PC	second generation	CD28	Chmielewski et al., [99]	
Her-2	CRC	third generation	CD28 and 4-1BB	Teng et al., [106]	
GPC-3	НСС	first generation	CD28	Gao et al., [102]	
	ncc	third generation	CD28 and 4-1BB		
GPC-3		first generation	CD28		
	HCC	second generation	CD28/4-1BB	Li et al., [107]	
		third generation	CD28 and 4-1BB		
GPC-3	HCC	third generation	CD28 and 4-1BB	Jiang et al., [108]	
GPC-3 and ASGR-1	HCC	third generation (double targets)	CD28 and 4-1BB	Chen et al., [109]	
Her-2 and CD24	РС	second generation CD28		Malion at al [110]	
	PC	third generation	CD28 and 4-1BB	Maliar et al., [110]	
NKG2D	GC	second generation	4-1BB	Tao et al., [111]	
NKG2D	CRC	third generation	CD28 and 4-1BB	Deng et al., [92]	
Trop2, PD-L1	GC	third generation (double targets )	CD28 and 4-1BB	Zhao et al., [112]	
MSLN	GC	third generation	CD28 and DAP10	Jiang et al., [85]	
Claudin18.2	GC	second generation	CD28/4-1BB	Jiang et al., [78]	
HER2	GC	second generation	4-1BB	Song et al., [113]	
PSCA	GC	third generation	CD28 and 4-1BB	Wu et al., [114]	
HER2	GC	second generation	4-1BB	Han et al., [115]	
FOLR1	GC	second generation	CD28	Kim et al., [116]	

expression on the surface of allogeneic T cells causes rapid rejection by the host immune system. Therefore, simple and effective methods for multi-genome editing of T cells are needed. Ren et al., [35] used CRISPR/Cas9 system to destroy multiple genomic loci simultaneously to generate endogenous CAR-T with deletion of TCR and HLA I expression, which can be used as allogeneic CAR-T and further developing into universal CAR-T, also known as the fifth generation CAR-T. This technology can theoretically realize the application of universal CAR-T in the clinical treatment of multiple tumor patients, which means that it will be possible for CAR-T to transform from an individual product to a universal product, and it will also provide greater benefits and convenience for the clinic. However, as the fifth generation CAR-T technology has made little progress in the treatment of tumors, its efficacy and safety need to be verified by more preclinical studies.

# Obstacles of CAR-T therapy for solid malignancies

In recent years, CAR-T has made remarkable achievements in the treatment of CD19-positive B-cell-derived leukemia and lymphoma. Therefore, more and more research teams are starting to get involved in the treatment of solid tumors by CAR-T, and gratifying achievements have been made in the pre-clinical research after efforts. However, the clinical application of CAR-T therapy in the treatment of solid malignancies still faces many obstacles. On the one hand, CAR-T activity is correlated with severe side effects, such as cytokine release syndrome and neurotoxicity, while the underlying mechanism remains unclear [36-38]. On the other hand, the reduction of anti-tumor efficacy of CAR-T in solid malignancies is mainly due to the immunosuppressive effects and physical barriers of malignancy microenvironment, which seriously reduce the activity of CAR-T [39-41]. Therefore, the safety and efficacy of CAR-T in the treatment of solid malignancies still need to be improved.

# Lack of specific antigen

Due to the heterogeneity of solid malignancies, it is hard for CAR-T technology to specifically identify malignancy-related targets, which is a challenge to be overcome [42]. The antigens of solid malignancy cells are not malignancy specific, so they are not confined to malignancy cells, inducing the risk of non-malignancy toxicity to healthy tissue. As reported in the clinical trial of CAR-T for the treatment of solid malignancies, targeted Her2 CAR-T therapy in patients with lung metastasis from Her2 positive colon malignancy leaded to cytokine release syndrome due to Her2 expression in the pleura, resulting in patient death [43]. Therefore, the problem of specific targets should be solved first in the treatment of solid tumors by CAR-T technology. However, specific targets can hardly be found in solid tumors, only tumor-related targets can be found, which are highly expressed in target tumors and low in other normal tissues. This is also the reason why CAR-T has been unable to make breakthrough in solid tumor treatment.

Currently, there are several types of tumor-associated antigens used by CAR-T technology in the treatment of solid malignancies: (1) malignancy-related peptides produced by malignancy-specific mutation expression, such as type III mutant epidermal growth factor receptor (EGFRvIII) [44]; (2) Antigens caused by abnormal glycosylation patterns, such as Mucin-1 (MUC1) and Mucin-16 (MUC16) glycoprotein [31,45]; (3) Malignancy stromal/malignancy vascular-associated antigens, such as FAP[46]; (4) Healthy cells expressed low levels of malignancy-specific antigens, such as dioxo Ganglioside 2 (GD2) [47], mesothelin (MSLN) [48], Prostate-specific malignancy antigen (PSMA)[49], IL-13Ra2 [50]; (5) Glycoproteins with antigenic properties of human embryos, such as carcinogenic antigen (CEA) [51]. Among them, human epidermal growth factor receptor-2 (HER2), PSMA, GD2, IL-13Ra2, MSLN, MUC1, MUC16 and EGFRvIII are the most studied solid malignancy targeting antigens in clinical studies [52,53]. From these research practices, the necessity of exploring neoantigens and optimizing antigen specificity in the treatment of solid malignancies is emphasized.

#### Inhibition of malignancy microenvironment

The success of CAR-T in the treatment of hematologic malignancies is mainly due to the fact that hematologic malignancies are conducive to the diffusion and infiltration of CAR-T cells, thus solving the problem of CAR-T targeting. However, in order to kill solid malignant cells, Car-t cells must circulate through the blood and lymph nodes to reach specific tumor sites. Even when reaching the surface of a malignant tumor, the dense extracellular matrix limits CAR-T infiltration to some extent, thus preventing CAR-T cells from reaching their target cells [54]. Stromal cells are responsible for malignant tumor growth and angiogenesis by providing nutrients, growth factors, chemokines, and stroma, so they block antitumor therapy by promoting an immunosuppressive environment that inhibits T cells effector function [55]. In order to avoid the effect of extracellular matrix (ECM) on the infiltration of CAR-T cells into malignancies, local or intratumoral administration not only provides effective and long-lasting antitumor effects, but also bypassing dense tumor stroma and reducing the risk of systemic non-target poisoning [56,57]. However, this method of drug administration may also bring some problems such as inconvenient drug administration and peripheral free tumor cells escaping CAR-T cell killing.

Furthermore, Studies have indicated that chemokines exert a crucial function in limiting homing ability of T cell [58-62]. As we all know, Solid malignancies can secrete a good deal of chemokines, such as C-C chemokine ligand 2(CCL2), but their corresponding receptor chemokines, CCR2b and CCR4, are sparingly distributed on adoptive T cell membranes, resulting in incapacity of homing to malignancy sites [63]. Solid tumors also secrete a variety of other chemokines, such as CXCL2, CXCL5, CXCL12, CCL22, and CCL28. These chemokines attract marrow derived suppressor cells (MDSC) and regulatory T cells (Tregs), the primary function of two types of immunosuppressive cells is to mediate the cytotoxicity of T cells in solid malignancies [64-66]. The presence of a mass of immunosuppressive cells, severely inhibited the cytotoxic effects of CAR-T and prevented malignancy tissue from recruiting other effector immune cells.

Besides, studies have shown that PD-1 can trigger or inhibit signals that exert a crucial function in the malignancy environment by binding to PD-L1. The combination not only blocks the activation of T cells by inhibiting the activation signals of T cells, but also assists regulatory cell (Treg) to exert an inhibitory function and induce the transformation of helper T cells into Tregs. The widespread expression of PD-1/PD-L1 in various solid malignancies may be one of the primary cause for the poor efficacy of CAR-T technology in solid malignancies [67-69].

#### Application of CAR-T therapy in patients with DSMs

In the last decade, some studies have focused on the use of CAR-T in gastric carcinoma (GC), pancreas carcinoma (PC), colorectal carcinoma (CRC) and Hepatic carcinoma (HCC). Although CAR-T immunotherapy still has a number of barriers to solid malignancy targeting [70,71], more than 40 preclinical and clinical studies have been related to the application of CAR-T in DSMS (Table 1 and Table 2).

# Table 2. Summary of clinical trials of CAR-T in DSMS

Antigen	Malignancy types	Number of patients (n)	Clinical stage	Identifying code (clinicaltrials.gov)	Sponsor	Status
EPCAM	GC	19	II	NCT02725125	Beijing Hua Wei Cell Therapy Co. LTD (China)	Recruiting
EpCAM	GC	40	Ι	NCT03563326	West China Hospital (China)	Recruiting
EpCAM	CRC, oesophageal carcinoma, GC, HCC, PC	60	I and II	NCT03013712	The first Affiliated Hospital of Chengdu Medical College (China)	Recruiting
EPCAM	HCC	25	II	NCT02729493	Beijing Hua Wei Cell Therapy Co. LTD (China)	Unknown
Claudin18.2	GC, PC	2	Ι	NCT03890198	First Affiliated Hospital of Xi 'an Jiaotong University, Nanjing Lenovo Biotechnology Co. LTD (China)	Terminated
Claudin18.2	GC, PC	30	Ι	NCT04404595	Keji Biomedicine (Shanghai) Co., LTD (China)	Not yet recruiting
MUC1	CRC, GC	20	I and II	NCT02617134	Persengen Biotherapy (Suzhou) Co. LTD, The First People's Hospital of Hefei City, Hefei Binhu Hospital (China)	Unknown
MUC1	HCC, PC	200	I and II	NCT02587689	Persengen Biotherapy (Suzhou) Co. LTD, The First People's Hospital of Hefei City, Hefei Binhu Hospital (China)	Unknown
CEA	CRC, GC, HCC, PC	40	I and II	NCT04348643	Chongqing Precision Biotechnology Co. LTD (China)	Recruiting
CEA	CRC, GC, PC	75	Ι	NCT02349724	Southwest China Hospital (China)	Unknown
CEA	CRC, GCPC	18	Ι	NCT03682744	Sorrento Therapeutics, Inc. (America)	Active, not recruiting
CEA	liver metastases	5	Ι	NCT02850536	Roger Williams Medical Center (America) University of Colorado, Denver Sorrento Therapeutics, Inc	Active, not recruiting
CEA	liver metastases	8	I	NCT02416466	Roger Williams Medical Center (America) Sirtex Medical	Completed
CEA	hepatic metastasis in pancreatic	167	п	NCT04037241	Sorrento Therapeutics, Inc. (America)	Not yet recruiting
CEA	PC	6	Ι	NCT03818165	Sorrento Therapeutics, Inc. (America)	Active, not recruiting
MSLN,	PC	16	I	NCT01897415	University of Pennsylvania (America)	Completed
MSLN,	PC	20	Ι	NCT02580747	Chinese PLA General Hospital (China)	Unknown
MSLN	PC	30	Ι	NCT02706782	Shanghai Jikeiyin Chemical Technology Co., LTD (China)	Unknown
MSLN/CD19	PC	10	Ι	NCT03497819	The first Affiliated Hospital of Wenzhou Medical University (China)	Active, not recruiting
MSLN, PSCA, CEA, HER2, MUC1, EGFRvIII	PC	10	I	NCT03267173	The first Affiliated Hospital of Harbin Medical University, Shanghai Ucadi Biomedical Technology Co., LTD (China)	Unknown
GPC3	HCC	30	I and II	NCT02715362	Shanghai Jikeiyin Chemical Technology Co., LTD (China)	Unknown
GPC3	НСС	20	Ι	NCT04121273	Nanjing Gulou Hospital affiliated to Nanjing University Medical College (China)	Recruiting
GPC3	НСС	14	I	NCT02905188	Baylor College of Medicine (America) Center for Cell and Gene Therapy, Baylor College of Medicine The Methodist Hospital System	Recruiting
GPC3	НСС	30	I	NCT03198546	Second Affiliated Hospital of Guangzhou Medical University, Hunan Zhaotai Yongren Medical Innovation Co. LTD, Guangdong Zhaotai Bio-pharmaceutical Co. LTD, The First Affiliated Hospital of Sun Yat-sen University (China)	Recruiting
GPC3	НСС	10	I and II	NCT03130712	Shanghai Jikeiyin Chemical Technology Co., LTD, Beijing 302 Hospital (China)	Unknown
GPC3	НСС	60	I and II	NCT02723942	Guangzhou Fuda Malignancy Hospital (China)	Completed
GPC3	НСС	13	Ι	NCT02395250	Shanghai Renji Hospital (China)	Completed
GPC3	HCC	20	I and II	NCT03084380	Chongqing Xinqiao Hospital (China)	Unknown
GPC3	HCC	36	Ι	NCT03980288	Zhejiang University, Kozic Cayman Medical Co., LTD (China)	Recruiting
GPC3	НСС	15	I	NCT03884751	• Kozic Cayman Medical Co., LTD, Nanjing PLA 81 Hospital, The First Affiliated Hospital of Zhejiang University, Ruijin hospital (China)	Recruiting
EGFR	CRC	20	I	NCT03542799	Shenzhen Second People's Hospital, Prujin (Shenzhen) Biotechnology Co., LTD (China)	Not yet recruiting
EGFR	CRC	20	I and II	NCT03152435	Shenzhen Second People's Hospital, Beijing Puruijie Technology Co. LTD (China)	Unknown
NKG2DL	CRC, GC	10	Ι	NCT04107142	CytoMed Therapeutics Pte Ltd (America)	Not yet recruiting
NKG2D	HCC, CRC	10	Ι	NCT04270461	Affiliated Hospital of Jiujiang University (China)	Not yet recruiting

MSLN	GC		I and II	NCT03941626		Recruiting
DR5	HCC	50			Shenzhen Binde Biotechnology Co. LTD, Henan Provincial People's Hospital (China)	
EGFR vIII	HCC				Tiospitai (Clinia)	
DR5	HCC		I and II	NCT03638206	Shenzhen Binde Biotechnology Co. LTD, First Affiliated Hospital of Zhengzhou University (China)	Recruiting
C-MET	HCC, CRC	73				
EGFR V III	HCC					
MSLN	GC, PC					
GPC3	HCC		I and II	NCT02959151	Shanghai Jikeiyin Chemical Technology Co., LTD, Shanghai Malignancy Hospital (China)	Unknown
MSLN	PC	20				
CEA	CRC					
C-MET/PD-1	HCC	50	Ι	NCT03672305	The Second Hospital of Nanjing Medical University (China)	Not yet recruiting
ROR2	GC, PC	18	Ι	NCT03960060	Shanghai Puheng Biotechnology Co. LTD, Shanghai Zhongshan Hospital (China)	
Her-2	CRC, Oesophageal carcinoma, GC, PC	39	Ι	NCT03740256	Baylor College of Medicine (America)	Not yet recruiting
PSCA	GC, PC	151	I and II	NCT02744287	Bellicum Pharmaceuticals (America)	Recruiting
AFP	HCC	3	Ι	NCT03349255	Aeon Biomedical (Shanghai) Co., LTD, People's Hospital of Wuhan University, Unico Biotech (China)	
MG7	liver metastases	20	I and II	NCT02862704	Xijing Hospital, Shanghai Jikeiyin Chemical Technology Co., LTD (China) Unknown	
CD147	HCC	34	I	NCT03993743	Xijing Hospital (China)	Recruiting
CD133	HCC, PC, CRC	20	I and II	NCT02541370	Chinese PLA General Hospital (China)	Completed

More than 10 malignancy-associated antigens have been used as targets for the treatment of tumors in the digestive system by CAR-T. Studies on malignancy-associated antigens such as CEA, GD2, MSLN, HER2, EGFR, and many other tumor antigens (FAP, IL-13R $\alpha$ 2, MUC1, PSCA, PSMA) have been reported. So far, most of the studies targeting these antigens have obtained definite anti-tumor properties in preclinical studies, but no breakthrough has been made in clinical trials.

#### Gastric carcinoma

Several antigens related gastric carcinoma (GC) have been identified in preclinical studies and applied to CAR-T studies. At present, common targets of CAR-T cell application in clinical trials of GC patients include HER2, CEA, Claudin18.2 (CLDN18.2), MSLN, MUC1 and Epithelial adhesion molecule (EpCAM), as well as clinical studies targeting PSCA, ROR2 and NKG2DL (Table 2).

HER2 exerts a crucial role in the pathogenesis of gastric, gastroesophageal malignancy and other types of malignancies [72,73]. HER2 gene amplification and overexpression of its protein product (p185-protein) were correlated with more than 30% of malignancies, while p185 protein expression was negative in normal tissues [74,75]. Therefore, HER2 can be an promising target for malignancy therapy, and a number of preclinical studies targeting HER2-specific CAR-T have been conducted [76]. Relevant Phase I clinical study (NCT03740256) is being recruited to combine two different antitumor approaches: oncolytic adenovirus and targeted her2 CAR-T to assess the safety and efficacy in the treatment of GC (Table 2). CAR-T technology combined with other anti-tumor methods has been widely used in preclinical and clinical studies in order to achieve the functional complementary effect of the two anti-tumor methods.

CEA is a kind of acidic glycoprotein with the characteristics of human embryo antigen. It exists on the surface of malignancy cells differentiated from endoderm cells and is the structural protein of cell membrane. It is commonly expressed in the GC, PC, CRC and HCC tissues. Preclinical studies have confirmed that CEA-specific CAR-T cells infiltrate malignancies, kill malignancy cells, delay malignancy growth, and prolong the survival of GC mice [77]. Phase I-II clinical studies (NCT04348643, NCT02349724, AND NCT03682744) of targeted CEA CAR-T therapy for GC are under way, for assessing the efficacy and safety of targeted CEA CAR-T therapy and obtaining recommended doses and infusion regiments (Table 2). The progress of these clinical studies was slow due to the particularity of CAR-T technology. On the one hand, due to the immature treatment of CAR T in solid tumors, the recruitment time of patients is too long. On the other hand, the side effect of the drug in the clinical trial led to the termination of the study.

CLDN18.2 is a membrane protein and is highly expressing in the tissue of GC. Jiang et al. [78] prepared specific CLDN18.2 humanized antibody using hybridoma and humanization techniques. Targeted CLDN18.2 CAR-T were produced by lentivirus vector transduction. The killing tumor activity of CAR-T in GC cell lines were measured in vitro. Then CAR-T was used to test the anti-tumor activity in the tumor model of GC cell line transplantation and the tumor model of GC tissue transplantation. Both in vitro and in vivo have obtained significant curative effect. Two clinical studies (NCT03890198, NCT04404595) targeted CLDN18.2 CAR-T in patients with advanced stomach and pancreatic cancer have been conducted, and the objective of clinical studies is to assess safety, tolerability, pharmacokinetics, and efficacy, and immunogenicity of cell therapy for advanced gastric and pancreatic ductal adenocarcinoma (Table 2). One of the clinical studies has been discontinued due to clinical complications and the other has not yet been recruited. Which also shows that CAR-T has a long way to go in treating solid tumors.

MSLN, is a 40kda membrane protein, is highly expressed in mesothelioma, lung carcinoma, PC, mammary gland carcinoma, ovary carcinoma and GC [79,80]. So far, MSLN has been targeted for the treatment of solid malignancies including mesothelioma, GC, lung malignancy, breast malignancy and PC [48,81-84]. Jiang et al., [85] found that targeted MSLN CAR-T showed strong cytotoxicity and cytokine secretion ability on GC cells in vitro, induced GC regression in different xenograft mouse models, and prolonged the survival time of the mouse. However, in the subcutaneous model of gastric cancer, only local injection could improve the invasion of CAR-T in tumor tissues and significantly inhibit the growth of gastric cancer. Phase I-II studies (NCT03941626, NCT03638206) are recruiting, in order to assess the

safety and efficacy of CAR-T immunotherapy in patients with GC (Table 2). The stomach is a lacunar internal organ, so due to its physiological structure characteristics, local administration of GC drugs will bring many difficulties. Therefore, CAR-T therapy for GC still requires efforts in intravenous administration, which requires enhancement of CAR-T tumor targeting, enrichment and infiltration.

MUC1 is a transmembrane glycoprotein that is highly expressed in GC. Wilkie et al., [86] constructed targeted MUC1 CAR-T and verified that which could effectively attack MUC1-positive malignancy cells. In addition, they constructed CAR-T with dual anti-ERBB2 and MUC1, which can effectively remove antigen-positive malignancy cells and modulate the immune microenvironment [87]. Phase I-II clinical studies targeting MUC1 (NCT02617134) are under way to assess the safety and efficacy of CAR-T immunotherapy in patients with muc1positive recurrence or refractory gastric and colorectal carcinoma (Table 2). CAR-T targeting multiple targets has been widely used in the treatment of solid tumors in recent years, and its biggest advantage is to prevent the off-target effect of tumors. Multi-target coverage not only enhances car-T targeting but also enhances its anti-tumor ability. However, as mentioned earlier, there are few specific antigens in solid tumors, and multi-target coverage will inevitably bring the risk of targeting extracellular cytotoxicity. Therefore, the multi-target treatment of CAR-T will be more stringent in the selection of target.

EpCAM is a transmembrane glycoprotein that is highly expressed in a variety of malignancies. Studies have found that changes in EpCAM expression are associated with invasive biological behavior in GC [88], so EpCAM is considered as a potential malignancy stem cell marker. Deng et al., [89] reported that targeted EpCAM CAR-T cells showed significant antitumor activity in prostate malignancy. Clinical Phase I-II studies related to GC (NCT02725125, NCT03563326, and NCT03013712) are being recruited and are expected to provide new treatment strategies for patients with peritoneal metastasis from GC. Among them, clinical studies (NCT03013712) targeting EpCAM also involved the treatment of CRC. In this study, adverse reactions, CAR-T persistence, and efficacy evaluation at 24 months after engineered T cell infusion were studied (Table 2). As shown above, EpCAM belongs to a generic targeting antigen in the treatment of digestive system tumors by CAR-T, and it is expected to develop a generic car-T product for the digestive system by targeting EpCAM.

#### **Colorectal carcinoma**

Colorectal cancer (CRC) is one of the most common DSMS. Studies have shown that multiple tumor-associated antigens are overexpressed in CRC tissues, and some preclinical/clinical trials for CRC CAR-T are ongoing. There are 7 targets for CRC CAR-T therapy in clinical trials, including CEA, HER2, EpCAM, MUC1, CD133, Natural-killer group 2, member D (NKG2D), and C-MET (Table 2). In a preclinical study, CEA was studied as a malignancy-specific target as part of CAR-T therapy. Targeted CEA CAR-T have been demonstrated to enhance anti-tumor immunity of CEA colon malignancy cells in mice and humans [77]. Related clinical Phase I-II studies (NCT02725125, NCT03563326, and NCT03013712) are being recruited and are expected to provide novel treatment strategies for patients with advanced CRC (Table 2). Because of the specific expression of CEA in CRC, researchers have not given up the research on targeting CEA CAR-T in colorectal cancer. It is believed that new gains will be made with the deepening of the research.

HER2, a transmembrane glycoprotein belonging to the ErbB family is associated with malignancy development. Previous clinical studies targeting HER2 CAR-T for CRC have failed due to the cytotoxicity of targeting extracellular tissues. However, the high expression of HER2 in CRC urges people to continuously optimize anti-HER2 CAR-T. Recently, the relevant phase I clinical study (NCT03740256) prepared by Baylor College of Medicine in the United States will be used to evaluate the safety and efficacy of anti-Her 2 CAR-T combined with oncolytic adenovirus in CRC, esophageal malignancy, GC and PC (Table 2).

NKG2D is an important activated receptor expressed on the surfaces of NK cells, formation-blocking T cells, CD8+T cells, and some autoreactive or immunosuppressive CD4+T cells [90]. The molecule appear at low or undetectable levels in normal cells, but rapidly appear on the surface of infected or malignant cells [91]. NKG2D activates immune cells through the adaptor molecule DAP10, which triggers cell proliferation, proinflammatory cytokine production, and target cell elimination. These functions underscore the importance of NKG2D in tumor immunotherapy. Deng et al., [92] investigated the anti-tumor activity of targeted NKG2D CAR-T on human CRC cells by constructing the third generation CAR-T. In vitro experiments, targeted NKG2D CAR-T showed dose-dependent cytotoxicity in human CRC cells compared with untransfected T cells. In vivo, targeted NKG2D CAR-T significantly inhibited malignancy growth, reduced malignancy size, and extended the overall survival of mice transplanted with HCT-116 cells. No serious lesions were found in all the treatment groups. NKG2D CAR-T are a promising immunotherapy strategy for human CRC. At present, there have been many preclinical studies on immunotherapy for CRC using NKG2DL CAR-T [93]. A Phase I clinical study (NCT04270461) in China to assess the efficacy and safety of HCC and CRC based on NKG2D is under way. A phase I clinical study of targeted NKG2DL CAR-T cells in the treatment of recurrent or refractory colorectal and gastric carcinoma (NCT04107142) is also underway in the United States to assess its safety and tolerability (Table 2). CAR-t therapy targeting NKG2D is also widely used in digestive system tumors, such as GC, CRC and HCC (Table 1, and Table 2).

c-MET is expressed in epithelial cells, endothelial cells, neurons, hepatocytes, and hematopoietic cells. c-MET exerts a crucial function in the proliferation and progression of malignancy cells [94]. c-MET is correlated with the survival, invasion and metastasis of malignancy. c-MET is overexpressed in diverse solid malignancies, such as HCC, breast carcinoma, lung carcinoma, and CRC [95]. Currently, there are few CAR-T studies targeting c-MET, and the only clinical I and II study of c-MET CAR-T for CRC and HCC is being carried out in China (NCT03638206) (Table 2).

#### Pancreatic carcinoma

Pancreatic carcinoma (PC)is a malignancy of the digestive system, characterized by high degree of malignancy and short course of disease. At present, there are many studies on the application of CAR-T technology to treat PC targeting different antigens. Because of its specific expression in pancreatic cancer, MSLN has become one of the most studied targets. In animal experiments, CAR-T specifically targeting MSLN showed strong antitumor activity [96]. In preclinical trials, new targets related to PC were also developed, including Claudin18.2, CEA, HER2, EGFRVIII, EpCAM, MUC1, CD133, etc. (Table 2). Many studies have improved CAR structures based on these targets in order to achieve better efficacy.

Prostate stem cell antigen (PSCA) is over expressed in several malignancies, such as prostate carcinoma, bladder carcinoma, and PC. Nevertheless, PSCA expression is low in normal cells, So, it has the ability to be a car-T target. Researchers designed the second-generation

PSCA CAR-T, which have been proved to be capable of eradicate PSCA-positive PC cells in vivo and in vitro, but, have no obvious effect on PSCA-negative PC cells [97]. Daniel et al., [98] proved in their study that targeted PSCA CAR-T can reduce the volume of malignant tumor by blocking the growth pathway of tumor cells. Clinical studies targeted PSCA (NCT02744287) are being recruited to evaluate the safety and activity of targeted PSCA CAR-T in the treatment of advanced solid malignancies, including PC, GC, prostate carcinoma (Table 2).

Targeted CEA CAR-T designed by Chmielewski et al., [99] were able to continuously recognize and attack malignancy cells, obviously reducing the size of pancreatic malignancies. In the mouse model, 67% of the malignancy cells were reported to be cleared, with no obvious damage to other healthy tissues. Targeted Muc1 CAR-T has been shown to have significant antitumor effects in preclinical studies for the treatment of PC [100]. Meanwhile, clinical studies on the above targets are also under way (Table 2), and it is expected to be successful in the treatment of PC.

#### Hepatic carcinoma

Hepatic carcinoma (HCC) consists of primary and metastatic carcinoma. HCV and HBV infection are the main causes of liver cancer. Burga et al., [101] found in the mouse model that targeted-CEA CAR-T could remarkably reduce the proliferation and metastasis of CEA-positive liver HCC cells. Furthermore, CAR-T targeting MUC1 has been shown to specifically kill MUC1-positive HCC cells in vitro. The EGFR family of proteins is also expressed in hepatocytes, which provides a theoretical basis for the feasibility of CAR-T therapy for hepatocellular carcinoma targeting EGF. Meanwhile, a variety of HCC associated antigens, such as CEA, GPC3, NKG2D, MUC1, EpCAM, EGFRvIII, and CD133, have been used in clinical trials for HCC (Table 2). Due to the poor efficacy of current therapies for advanced HCC, researchers have great hopes for car-T therapy for HCC.

Glypican-3 (GPC3), a heparin sulfate proteoglycan, is highly expressed on the surface of HCC cells, but is rarely expressed in normal tissues. Researchers demonstrated that targeted GPC3 CAR-T can significantly eliminate GPC3-positive HCC cells and inhibit the proliferation of malignant tumor cells [102]. At present, there are the most clinical studies on targeted GPC3 CAR-T in HCC (Table 2). In this study (NCT02715362), transcatheter Arterial injection (TAI) is adopted to mediate the injection of CAR-T into the body, which is regarded as a malignancy intervention therapy approach. The hope is that this will increase the local CAR-T population and reduce potential side effects. Novel drug delivery methods have emerged in the treatment of HCC by CAR T, and more clinical studies are needed to verify the efficacy. These indicate that increasing the car-T cells concentration of tumors as much as possible under the premise of safety is the current difficulty that car-T therapy needs to overcome.

Alpha-fetoprotein (AFP) is an important biomarker in HCC, and AFP-L3 is a subtype of AFP. Researchers found that AFP-L3 is specifically expressed in HCC, and AFP-L3 may be a promising target for CAR-T therapy for HCC [103]. Relevant clinical study (NCT03349255) has also been carried out, but it is not known why they have been stopped. Sperm protein 17 (Sp17) exerts a crucial function in the diagnosis of HCC and malignancy differentiation [104]. The specific expression of Sp17 in HCC cells is related to the pathological stage of HCC. Whether it can be used as a target for CAR therapy for HCC needs more studies to verify.

# Conclusion

Researchers have invested a great deal of energy and material resources in the treatment of solid tumors in order to achieve the achievement of CAR-T in solid tumors as well as in hematologic tumors. So far, pre-clinical and clinical studies on the treatment of digestive system tumors by CAR-T have shown a certain anti-tumor effect, but there are still many studies terminating due to complications in clinical studies and few of them have been successful. Therefore, in the face of the current research on the treatment of DSMs by CAR-T, the search for specific expression of tumor antigens remains the focus of CAR-T therapy. Furthermore, CAR-T technology strategy was optimized to overcome the obstacles of CAR-T in the face of solid malignancies, including increasing the ability of CAR-T to infiltrate malignancy tissues, eliminating immunosuppression, improving CAR-T function, and reducing potential toxicity. At the same time, in addition to these strategies for making CAR-T work in solid malignancies, these technologies need to be made more likely to be widely used in clinical applications. Allogeneic CAR-T may be one way to achieve this goal by using healthy donor cells instead of cells from each patient.

In addition, the CAR - T technology combined other cancer adjuvant therapy is also a direction of tumor therapy. After all, preclinical and clinical studies of CAR - T in the DSMS have showed its strong antitumor activity. Regardless of whether it can heal the DSMS on its own, now under the premise of security, CAR - T technology combines chemotherapy, radiotherapy, targeted drug therapy and other immunotherapy can certainly let patients obtain good prognosis. We hope that there will be more clinical studies of CAR-T in combination with other cancer adjuvant therapies in the future, which will accelerate the application of CAR-T in DSMS.

Immunotherapy for CAR-T represents a new and powerful approach to cancer therapy, and many improvements have been made in target selection and structural optimization in the studies of tumors in the digestive system. But what is being done is not enough, and the development of CAR-T technology must be more closely integrated with other technologies, such as genome editing, immunocheckpoint inhibitors, and other adjuvant therapies, in order to successfully treat DSMS.

All clinical trials are available at www.clinicaltrials.gov (visit July 18, 2020).

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