Research Article



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Foxels for high flux, high resolution computed tomography (FoxelCT) using broad x-ray focal spots: theory and twodimensional fan beam examples

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Abstract

Purpose: In general, there is a reciprocal relationship between the width and flux from an x-ray focal spot (corresponding to spatial resolution and photon noise), so that large focal spots are eschewed in computed tomography (CT) and projection and CT mammography. We wish to overcome this limitation. The goal of foxel based computed tomography (FoxelCT) is to achieve spatial resolution limited by the detector pixel size rather than the focal spot size, and therefore permit the use of much smaller detector pixels and/or larger focal spots in clinical CT.

Methods: Rather than using the standard approach of treating the x-ray source as a point, we digitally represent the focal spot as an emission picture consisting of pixels we call foxels (FOcal spot piXELS). Intersections of foxel rays with reconstruction voxels are approximated using the Wu antialiasing line drawing algorithm. All foxel rays in the x-ray light field impinging on a given detector pixel are, in effect, lumped together into a single "passage" or compound ray sum. In this introductory paper noise and other effects that degrade CT are not considered allowing us to demonstrate the upper bound of improvement afforded by the geometry of foxels. The FoxelCT algorithm is a generalization of MART (Multiplicative ART).

Results: We show, by simulating a fan beam body scanner configuration, that the loss in resolution versus focal spot size is much slower using foxels compared to the loss when representing the focal spot as a point source. For any given focal spot size, approximating it as a point is always worse than using foxels and furthermore introduces anatomical distortions.

Conclusions: Foxels permit the use of larger focal spots and thus greater x-ray photon flux with little loss of resolution. Future scanners could take advantage of foxels to increase the ratio of focal spot size to detector pixel size, via bigger focal spots and/or smaller detector pixels. Existing scanners could be immediately enhanced in image quality via incorporation of foxels in their software. Foxels are applicable to any form of radiological imaging that uses focal spots of finite width, including multisource scanners.

Introduction

The first experimental CT (computed tomography) scanner used a single 1/3 mm x 2.5 cm detector [1, 2], while the first commercial CT scanner a decade later produced images of 80x80 pixels across the head [3] with two 1 mm x 1 cm detectors.

Despite the passage of 56 years, clinical CT scanners still use detectors that are about 1 mm in the smallest dimension [4]. They generally do not take advantage of advances in reduced detector pixel width from the fields of digital radiography, microtomography and nanotomography (Figure 1, Table 1). The primary reason for this is that focal spots substantially smaller than 1 mm do not deliver enough x-ray flux for body scanning. Thus, the body CT detector pixel size has been wed to this relatively large focal spot size. Our goal here is to demonstrate a new CT algorithm, FoxelCT, which permits a larger ratio of focal spot size to detector pixel size. Thus, smaller detector pixels and/or larger focal spots may now be used.

Traditionally, CT algorithms treat the focal spot x-ray source as if it were a single mathematical point [152], despite the fact that the finite focal spot width is known to limit the resolution of CT [4, 153, 154]. Our FoxelCT algorithm accounts for the focal spot as an extended source. To this day (and earlier [155]), progress in reducing focal spot sizes has been hampered by the proportionality of x-ray flux to focal spot size [156] (Figure 2, Table 2):

"The tube power basically influences two different image quality criteria. On the one hand, the focal spot size is limiting the spatial resolution and is supposed to be more enlarged for higher tube powers. On the other hand, sufficient radiation intensities are necessary to achieve adequate signal-to-noise ratios. These two image quality criteria, which are contrarily related to the tube power, need to be well balanced in a sample specific way" [123].

"The main trade-offs in x-ray tube design are the total x-ray photon output and the size of the region of x-ray generation/electron beam footprint on the anode or target (also named thermal focal spot).

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Figure 1. Detector width d in μ m on a logarithmic scale versus year. Since the turn of the century, a substantial reduction in x-ray detector size has occurred, driven mostly by microtomography for small animals and nanotomography using synchrotrons. For references from which the data was gleaned, please see Table 1.



Figure 2. Maximum beam current in mA versus maximum dimension of the focal spot size $f(\mu m)$ for some contemporary rotating anode x-ray tubes (listed in Table 2). Note that there is an approximate proportionality consistent with the prediction of [156] for small focal spot sizes and with the correspondence between electron spot size and focal spot size [157]. Part of the scatter here may be due to the fact that we are using the largest linear dimension rather than the area of the focal spot.

More photons can be created by increasing the electron beam power, but this is limited by target melting and/or rapid evaporation, and/or lifetime degradation due to thermal cycling. One could prevent target melting by increasing the thermal focal spot size; however, this may cause a larger optical focal spot with consequences in degraded image resolution.... In an ideal system, the origin of the x-ray flux is treated as a point source to maximize spatial resolution. In reality, this region must have a finite area to decrease the maximum heat flux on the target/anode for x-ray generation" [4].

"...technical measures to limit [CT] focal spot blooming are important to avoid reduced spatial resolution" [154].

Even in micro-CT: "The focal spot size of the x-ray tube varies from $5 \ \mu m$ to $30 \ \mu m$ depending on its output power..." [148].

Thus, while smaller focal spots have been achieved for various applications (Figure 3), they do not find much use in clinical CT because of reduced flux and high temperatures [160]. Nor are the larger focal spots (Figure 1) used in CT, because, treated as point sources, they would reduce image detail. While someday the standard medical

x-ray rotating anode tube may be replaced by x-ray microbeams [161-163] or other technology, or the focal spot size made irrelevant via microcapillary [164-166], zone plate [167] or mirror [71] x-ray optics, in the interim we offer a partial solution to the dilemma via a new CT algorithm using foxels, which allows larger focal spots and/or smaller detector pixels to be considered.

In standard projection radiography, the loss of detail with large focal spots may sometimes be diagnostically acceptable:

"This investigation demonstrates that the impact of focal spot size choice for a number of examination types is limited, and there appears to be little support for using fine focus for at least some projections where it would have traditionally been employed. Whilst the authors at this preliminary stage do not propose that multi-foci X-ray tubes have no future role in radiology departments, the advantages of a single broad focus X-ray tube in terms of lower manufacturing costs, reduced customer service and replacement expenses and the ability to set shorter times to minimize movement unsharpness cannot be dismissed" [168].

"The results of this study suggest that the clinical significance of varied focal spot size is negligible" [69].

Table 1. X-ray detector sizes and focal spot sizes versus year published. Simulation studies are included where physical sizes are mentioned, as dimensions are usually based on available technology. Detector sizes are physical sizes, not binned groups of them. The smallest sizes reported are via "indirect detection" [5], where x-ray photons are converted to light and the flux measured via light microscopy and a digital camera, producing an "effective" detector pixel size much smaller than that of the camera. While this would seem to compromise field of view, one could imagine a CT gantry consisting of an array of such microscopes combined with CT algorithms that work well with a limited number of angles. We do not deal with issues of crosstalk between neighboring detector pixels and attempts to overcome it by achieving subpixel detector resolution [6]. Some overmagnification relative to the Nyquist limit may occur, but is ignored here because of prospects for superresolution [7, 8]. Where a range of focal spot sizes is reported, for a given detector size, the lowest and highest focal spot sizes are listed here. Note that the commercially reported sizes of focal spots at least used to be substantially underestimated [9-13], so that some of the values reported here for focal spot width f could be raised by a factor of up to 2.2 [13-17]. On the other hand, the standard pinhole method and others [18] may overestimate [19, 20] or underestimate [20] the focal spot size, so we do not here try to correct any reported values. See [21] for a recent discussion of nominal versus actual focal spot sizes. For small, roughly rectangular focal spots, the smaller width is listed here. However, since we are suggesting that larger focal spots are useful, for rectangular focal spots that are over 1 mm in one dimension, we give that larger dimension. X-ray sources vary from standard x-ray tubes to synchrotron, high-harmonic upconversion (HHG) x-ray lasers and sharp needle emission sources. Sources are listed without regard to whether hard or soft x-rays are produced, and whether monochromatic or broad spectrum. For synchrotrons, the focal spot size is taken as the diameter of the pinhole confining the low angular divergence x-ray beam, which, for example, may travel 27 m before striking the object being examined [22]. Sizes of gantries vary from synchrotron beam lines to body scanners to microtomography and nanotomography, with assorted technologies, with or without gratings. These comparisons, of course, leave out a huge number of variables, including depth of focus [23], wavelength or photon energy, contrast, field of view, region of interest imaging, detector lag, detector crosstalk, number of CT projections, angular dependence of the focal spot shape, the heel effect, beam hardening, beam intensity, exposure time, source to specimen distance, specimen to detector distance, magnification, scatter, voxel size, and composition, size and opacity of the specimen, etc. Nevertheless, the wide scatter in the ratio of focal spot width f_w to detector width *d* suggests a general lack of optimization of this ratio. Ratios in review articles may not be for the same pieces of equipment, as both parameters are rarely reported together, but are included, since the measurements reviewed represent a range of possibilities. "CT" refers to any computed tomography technology, whether clinical or experimental, including tomosynthesis. The larger the ratio of focal spot width f to gantry diameter 2r (distance between the x-ray source and the detector), converted here to $\log_{10}(f/(2r))$, the greater the angular difference would be between foxel rays and the central ray from a point source. This may be taken as a measure of the effectiveness of using foxels, because the larger the value, the more foxel rays will differ from rays from a point source. The position of the object or patient with respect to the x-ray source and detectors, often used to increase magnification or sharpness, respectively, is not taken into account here, to allow a one dimensional comparison. For a plot of number of slices versus year for clinical CT scanners, see [24].

Year	Detector width d in µm	Focal spot widthf in µm	Ratio f/d	Gantry diameter 2r in m	$\log_{10}(f/(2r))$	СТ	Reference
1943		300					[25, 26]
1950		4900					[27]
1951		2000					[28]
1955		2340					[29]
1955		3000					[29]
1956		300					[30]
1957		130					[31]
1957		300					[32]
1957		310					[31]
1957		1200					[33]
1958		400					[15]
1958		16000					[15]
1961	333	333	1.0	0.30	-2.95	V	[1]
1964		1000					[34]
1964		2000					[34]
1965		2000					[35]
1968		1000					[36]
1968		2000					[36]
1969		50					[37]
1969		340					[37]
1969		360					[38]
1969		500					[39]
1969		2000					[40]
1970		50					[41]
1970		300					[14]
1970		2000					[40]
1971		60					[42]
1971		270					[19]
1971		300					[42]
1971		1000					[42]
1972	2	2	1.0				[43]
1973	1000	1000	1.0	1	-3	√	[44]
1974		600					[45]
1974		2450					[45]
1975		148					[46]
1975		800		0.28	-2.54		[47]
1975		1490					[46]
1975	50						[48]
1977	15						[49]
1979	30						[50]
1980		15					[26]

1000		50					19.0
1980		50					[26]
1980		100					[26]
1980	40	50	1.25			√	[51]
1980	1000						[52]
1982		1000		2	-3.3		[53]
1982	400	1000	2.5	2.03	-3.31	\checkmark	[54]
1988		90					[13]
1988		300					[55]
1988		1000					[55]
1989		1					[56]
1989	40	5		0.125	-2.54		[57]
1989	500						[58]
1991		2480					[59]
1991		9200					[59]
1992	36	40				1	[60]
1992	228	10				2	[61]
1002	220	100				•	[62]
1993	260	870	2.4	0.85	2.00	al	[02]
1993	500	870	2.4	0.85	-2.99	v	[03]
1993	2000	900	0.0002	0.05	2.20		[62]
1993	3000	25	0.0083	0.05	-3.30		[64]
1993	3000	25	0.0083	3	-5.08		[64]
1995		100					[65]
1995		200					[66]
1995		500					[65]
1995		1000					[66]
1995	22			0.94			[67]
1995	500	80	0.16				[68]
1995	500	800	1.6				[68]
1996		680					[69]
1996		2610					[69]
1996	1660	400	0.241	2.45	-3.79	\checkmark	[70]
1997		1					[71]
1997		60					[72]
1998		10					[73]
1998	22.5	500	22.2	1.240	-3.39		[74]
1998	97						[75]
1999		0.45		1	-6.35		[76]
1999	7	300	42.9	50	-5.22		[77]
1999	35	50.5	1.44				[78]
2000	2.5	5	2.0				[79]
2000	100	600	6	1.6	-3.43		[80]
2001	6					1	[81]
2002	2200	600	0.27	1.302	-3.34	1	[82]
2003	0.030	150	5000	27	-5.26		[22]
2003	50	5	0.1		-4 99	√	[83]
2003	50	50	1.0	0.492	-3.99	<u></u>	[83]
2003	50	100000	1.0	0.772	-3.77	Y	[84]
2004	49.22	100000				2	[04]
2004	40.20	200	1.05	1 10	2 50	2	[05]
2004	154	1000	6.40	1.10	-3.37	2/	[00]
2004	104	1000	0.49	1.10	-3.07	٧	[00]
2003	127					2/	[0]
2000	12	20	0.0	0.22	4.02		[86]
2006	50	30	0.6	0.32	-4.03	N	[89]
2006	50	100	2.0	2	-4.30		[90]
2006	100	100	1.0	2	-4.30		[90]
2006	100	300	3.0	2	-3.82		[90]
2006	100	600	6.0	2	-3.52		[90]
2006	200	100	0.5	2	-4.30		[90]
2006	200	300	1.5	2	-3.82		[90]
2007	0.020					\checkmark	[23]
2007	0.175					\checkmark	[91]
2007	48	7	0.15			\checkmark	[92]

2007	100	300	3.0	12	-3.60	N	[93]
2007	100	1200	12.0	1.2	-5.00	2	[93]
2007	104	400	2.1	0.8771	-3.00	2	[95]
2007	194	400 600	2.1	1.00	-5.54	2	[94]
2007	194	1.86	3.09	1.00	-3.22	v	[95]
2008		250000					[90]
2008	0.15	0.3	2	0.16	4.90	N	[97]
2008	0.15	0.3	2	0.10	-4.90	2	[96]
2008	0.35	5	6.25	0.18	-4.95	2	[98]
2008	50	0.9	0.23	0.2	-4.51	2	[90]
2008	50	13.0	0.28			2	[99]
2008	200	800	4.0	0.75	2.07	v	[39]
2008	380	10	4.0	0.75	-2.97	N	[100]
2008	380	100	0.020	0.6	-4.78	2	[101]
2009	47	100	0.20	0.0	-5.76	N	[107]
2009	47	100				•	[102]
2010	0.12	0.05	0.28				[103]
2010	0.15	0.05	15.2				[104]
2010	5.5	2.3	15.5				[105]
2010	20						[5]
2010	20	140	5.0				[J]
2010	23.0	600	20.0				[106]
2010	23.0	090	29.0			1	[100]
2011	2540	1000	0.204	1.45	2.16	1	[107]
2011	2540	20000	0.394	1.45	-5.10	2	[108]
2011	2340	20000	0.787	1.43	-1.60	N	[100]
2012	0.074	40				1	[109]
2012	0.074	200	12.6			N	[110]
2012	22	800	15.0			N	[111]
2012	70	600	30.4	0.7	2.07	N	[111]
2012	70	100	0.57	0.7	-5.07	N	[112]
2013		7000					[115]
2013	50	/000				-1	[114]
2013	100					1	[115]
2013	100	400	27			N	[115]
2013	2540	400	2.0	0.64		1	[110]
2013	2540			1.45		N	[117]
2013	2340	600		1.43		2	[110]
2014		6000				v	[119]
2014	5	55	11			1	[120]
2014	S	55	11			N N	[121]
2014	0					2	[122]
2014	12.1	260	0.205	0.10	2.58	2	[123]
2014	127	200	0.205	0.10	-2.38	2	[124]
2014	600	55	0.092	0.23	-2.98	2	[124]
2014	1200	500	0.092	1.1	2.24	2	[121]
2014	1300	5000	2.9	1.1	-5.54	2	[125]
2014	1500	5000	5.0	1.1	-2.54	2	[125]
2014	1500	55				N N	[120]
2015		400					[127]
2015		5500					[120]
2015	33	500	15	0.84	_3.23	N	[120]
2015	55	30	0.55	0.14	-3.67	<u>ب</u>	[130 131]
2015	55	30	0.55	0.14	_3.07	v V	[130, 131]
2015	55	200	0.55	0.24	-5.70	Y	[130]
2016		400					[132]
2016		7000					[133]
2016		8000					[132]
2010		8000					[134]
2016		8000					[135]
2010		60000					[130]
2010	0.015	00000				2	[137]
2010	0.013					v	[100]

2016	4.3					\checkmark	[139]
2016	8.75					\checkmark	[140]
2016	8.75	5	0.57			√	[141, 142]
2016	10	400	40.0	1.000	-3.40	√	[143]
2016	10	1000	100.0	1.000	-3.00	√	[143]
2016	46	24	0.52	143	-6.78	√	[144]
2016	50	100	2.0	0.2617	-3.42	√	[145]
2016	75						[146]
2016	75	5	0.067				[147]
2016	127	5	0.039	0.265	-4.72	√	[148]
2016	172	5	0.029				[147]
2016	194					\checkmark	[149]
2016	200	1	0.005	1.23	-6.09	\checkmark	[150]
2016	200	5	0.025	1.23	-5.39	\checkmark	[150]
2016	450					√	[151]
2016	1000	400	0.40	1.000	-3.40	√	[143]
2016	1000	1000	1.0	1.000	-3.00	√	[143]

Table 2. Manufacturers' specifications for some contemporary rotating anode x-ray tubes.

Company/Model	Maximum focal spot width (µm)	Maximum beam current (mA)	Source
Gulmay			[135]
NDI 320/23	3600	5	
NDI 322	4500	10	
NDI 320/26	5500	13	
NDI 321	8000	12.5	
Oxford Instruments			[158]
1000 Series - 90507 Glass X-ray Tube	33	0.5	
Jupiter 5000 Series Radiation Shielded X-ray Tube	55	1.0	
1501 Series Glass X-ray Tube	125	2.5	
1500 Series Glass X-ray Tube	150	1.0	
Varian			[159]
NDI-225/20	1000	2.8	
HPX-450/11	1000	3.3	
NDI-160/20	1000	4	
HPX-225/20	1000	4.4	
HPX-320/11	1000	5.6	
HPX-160/20	1000	6.5	
HPX-225/11	1000	8	
HPX-160/11	1000	11.25	
NDI-225/21	3000	7	
NDI-160/21	3000	10	
NDI-320/23	3600	5	
NDI-350/23	3600	5	
HPC-225FB	3900	13	
NDI-350/26	4000	5	
NDI-451	5500	10	
NDI-452	5500	10	
NDI-160/22	5500	13	
NDI-225/22	5500	13	
NDI-320/26	5500	13	
HPC-160FB	5500	25	
NDI-225FB	7500	13	
NDI-226	7500	13	
NDI-161	7500	19	
NDI-321	8000	10	

With the use of foxels, one may keep all of these advantages of large focal spots while attaining much of the advantage of small focal spots, a form of nearly "having one's cake and eating it too". The perception that we need small x-ray focal spots has been legislated, at least for mammography [169], though foxels could render this legal approach to x-ray physics moot.

One would think that a rational approach would be to at least match the detector width to the focal spot width, but this does not seem to be happening (Figure 4). Nor have we found any literature that addresses optimizing this ratio. Another important ratio is the focal spot width to the gantry diameter. This ratio is widely scattered and skewed towards low values (Figure 5), whereas the use of foxels would suggest that



Figure 3. Logarithm of focal spot size in μ m versus year. While there is a trend to quite small focal spots led by microtomography and nanotomography, in recent years extended area x-ray sources have also come into use, albeit for sterilization of large volumes rather than for imaging. Thus, we also have a trend to large focal spots. See Table 1.



Figure 4. Ratio of focal spot width *f* to detector pixel width *d* versus year. The scale is dimensionless, since both are measured in μ m. In recent years the ratio of the focal spot width to the detector width has had two opposite trends, both increasing and decreasing, but with everything in between. For those systems with an increased ratio, resolution could be improved using foxels, if the distance between focal spot and detector isn't too large (see Figure 5). Data from Table 1.

higher values are attainable. Therefore our simulated experiments here delve into a range of CT parameters whose optimization is usually not considered.

The finite width of the focal spot has been invoked to reduce the resolution of simulated CT to make the latter more "realistic" [170]. Here we take the opposite approach and make use of the width of the focal spot to increase resolution (Figure 6).

Foxels were independently proposed by us [2, 7, 171] and at about the same time by other groups, who called them "subfocal spots" [172] and "source-lets" [94]. They offer a real space approach to deconvolution of the focal spot [173-176] with the attendant flexibility of iterative algorithms, without making any approximations [177, 178] to the usually complex structure of a focal spot (Figure 7). The area integral model (AIM) uses double integrals to estimate absorption between an extended focal spot and an extended detector pixel [179]. These double integrals are special cases of the general notion of a "passage" between the x-ray source and detector [180] or "ray sum" [181], also known as "a finite beamwidth" [182, 183]. Ray modelling (RM) [125, 184] is equivalent to foxel imaging, with the addition of division of the (1.3 mm, in their case) detector pixels and incorporation of photon noise. A similar approach uses an "eleven-fold subsampling of the [focal] spot area" and "pixels (sub-detectors) covering the detector area" [185].

Another approach, shown to be noise sensitive, is to deblur the focal spot by Fourier space/Wiener deconvolution methods [178, 200]. However, it is important to take into account the varying effects of the focal spot on voxels at different distances from the x- ray source. While the trapezoidal "footprint" or shadow of each voxel being reconstructed can be calculated [201], rotation of the voxels so they cast a rectangular footprint in each projection direction has been found to improve the image [202]. This notion of a manipulable voxel is related to pointillism [2]. Focal spots have been divided into many "segments" where "each segment carries a certain intensity weight" for a ray tracing analysis of the effects of focal spot shape on resolution [203], but this was not used for deconvolution. X-ray capillary optics [204] may be looked upon as directly segmenting and measuring the focal spot rays down to as little as [205] 1 µm. Unless a light field optics approach is taken, x-ray capillary optics might not work well with large focal spots [166], because the angular acceptance of each microcapillary tube is small, leading to trade-offs in angle coverage, flux and dose to the patient.

Our purpose here is to put an upper bound on the improvement that foxels can bring, and to optimize that improvement with respect to two parameters: the ratio of focal spot width f_{w} to detector pixel width d and the number of foxels into which the focal spot is partitioned. To do this we simulate a fixed gantry diameter (distance of focal spot to the detector pixel at the center of the fan beam) of 2r = 870 mm, using a fan beam geometry with fan angle Φ = 57° (Figure 8, Table 3), with $\alpha_{max} = 865$ detector pixels inside the fan beam, and ignore photon and instrument noise, heel effect [206, 207], beam hardening, etc., in this, our initially strictly geometric approach to this problem. While all of those parameters would degrade the reconstructed images, the geometric approach is valuable in giving an upper bound on what is achievable. We leave the effects on foxel CT of noise, etc., for future work. We find that foxels can offer an alternative to microfocal spot systems, retaining much of their high resolution while allowing the high flux of broad x-ray sources. Even though we have concentrated on CT, foxels are equally applicable to ordinary projection radiography, digital versions of classical tomography, and tomosynthesis. The goal of foxel based computed tomography (FoxelCT) is to try to achieve a





Figure 5. Logarithm of the dimensionless ratio of focal spot width f to gantry diameter 2r versus year, which we take as being the distance between the focal spot and the detector pixels. With finer focal spots, especially in synchrotron nanotomography, the ratio of focal spot width to gantry diameter has been plunging in recent years. Here we argue that, with the use of foxels, it should be substantially increased. Data from Table 1.



Figure 6. Conventional CT versus FoxelCT.(a): A conventional fan-beam CT scan algorithm treats the focal spot as if it were a single point from which rays are projected to the detector pixels. The square is the region being reconstructed, represented digitally by array U, whose iterative approximations are the arrays A_i . The arcs on top indicate: 1) solid line, the focal spot as a continuum x-ray emitter, in this case of width (arc length) f_w equal to that of 3 detector pixels of width d (i.e., $f_w = 3d$); 2) small dashes, the continuum focal spot approximated by $\Psi_w = 9$ finite elements; 3) large dashes, $a_w = 5$ foxels used in reconstructing the plane of voxels. a_w is an independent variable. In our simulation, these three arcs would be superimposed on the ring of detector pixels. They are displaced radially here for clarity. (b): The focal spot is divided into multiple foxels (just $a_w = 3$ here) which project rays onto the detector pixels. The rose from each foxel are colored differently. Dashes are to make the figure understandable in gray scale. (c): The same rays are recolored according to which detector pixels see them. For each detector pixel, these are the rays, grouped into a compound ray, used to calculate $D_{F_x}(\alpha, \beta, g)$ in Equation 2. A similar calculation, emanating from the Ψ_w finite elements, is used to calculate the forward projection data $D_x(\alpha, \beta, g)$ is the angle of the line between the middle of the focal spot and the detector pixel in the middle of the arc of detector pixels encountered by the fan beam of angle Φ . The length of that line is the diameter 2r of the gantry. This geometry can represent either a third generation CT scan and on the there is a full ring of stationary detector pixels, and only the x-ray source rotates. However, we do constrain both to have equal distances r from the center of rotation in our simulation. The indexing of the detector pixels in the fan beam, $\alpha = 1,...,\alpha_{m_x}$, depends on which generation accounteride by the fan beam

spatial resolution limited by the detector pixel size rather than the focal spot size. The advantage to the patient is higher resolution for a given x-ray dose, lower dose for the same resolution, or shorter exposure times, reducing patient motion, heart motion, etc.

Methods

Choice of CT algorithm

We use the MART algorithm (Multiplicative Algebraic Reconstruction Technique) [2, 180, 181, 215] which is a well characterized [216-225] nonlinear (non-Kaczmarz [2, 226]) iterative algorithm, not the best possible, but a good standard for comparison. Two desirable characteristics are: a) if MART is started from nonnegative voxel values, all voxels remain nonnegative as the calculation proceeds; b) where the x-rays have only traversed air, MART generates zero values for those voxels, and thus confines the reconstruction of the data to remain within the convex hull [221, 227-230] of the body. Improvements of MART that could be invoked include streak suppression [231, 232], metal artefact reduction [233], and postprocessing steps such as deconvolution of the point spread function of MART by Wiener filtration [234] and searches in the space of solutions of CT underdetermined equations [235].

Modeling an x-ray source with its focal spot width divided into finite elements

Although we will confine our computations in this introductory paper to 2D simulations using foxels, we will here develop the mathematics for the full 3D case for later use, and then derive 2D as a special case. For a given object or patient being scanned by the CT machine, let its voxels in 3D space be defined as U(x, y, z), where x, y, and z are the 3D coordinates of the center of each voxel (Figure 6 and Figure 8). As foxels represent a change in the geometry of rays rather than the physics of CT, at this point in their development we presume that U is a scalar function independent of time (no patient motion), that Beer's Law holds (i.e., monochromatic x-rays), and do not consider calibration, beam hardening and scatter corrections, nor fluctuations in number of photons emitted, absorbed, or detected, i.e., noise [183, 236]. This allows us to focus on the question of whether or not the geometry of foxels by itself improves CT resolution. The results will then be an upper bound to the improvement in resolution using foxels. We plan to broach these effects, including full 3D CT, in subsequent papers in this series, to estimate lower bounds.

Let each voxel coordinate of U(x, y, z), be defined as an integer number from (1,1,1) to $(x_{max}, y_{max}, z_{max})$, with the voxels on a cubic grid with spacing v. This coordinate system is in the patient, and the gantry system containing x-ray sources and detectors is presumed to move relative to a stationary patient. For a gantry in which the patient is on a movable bed, the z-coordinate is along the bed, but in our coordinate system the bed is presumed stationary and the gantry moves. The difference can be made up via standard translation and rotation matrix transformations via homogeneous coordinates [237]. For 2D simulations z is taken as having a fixed value.

We will assume that the gantry itself has a known relationship between its extended 2D x-ray source S and its 2D array of detectors, and



Figure 7. Focal spots with a variety of shapes. Note that in the digitized focal spot images each pixel could be regarded as a foxel, since in a real situation D_E is measured, and need not be calculated from finite elements. (a): Gray scale reversal of a focal spot from [188] with kind permission of Sanja Valentic. (b): From [189] with kind permission of Medical Physics and author John M. Boone. Width is 300 µm. (c): "Isopower (640W) focal spot images (1 mm, EN12543) depicting considerably lower surface temperatures for an HP [high power] technology anode [right; versus conventional anode: left]." From [133] with kind permission of Jim Kone. (d): Nearly symmetric focal spot of a carbon nanotube x-ray source. From [112] with kind permission of Medical Physics and author Otto Zhou. (e): Focal spot scanned from mammographic film. From [94] with kind permission of Medical Physics and author John M. Boone. (f,g): Focal spots from the same CT scanner at its maximum amperage for 70 kV and 120 kV. From [154] with kind permission of Medical Physics and author Cynthia H. McCollough. For a mammography focal spot that is highly structured see [47]. For efforts to reduce that structure see [190]. The space charge of electrons [21, 154], magnetic fields [100, 191, 192], as in dual CT/MRI scanners, and position along the cathode/anode axis [193] and projection direction [194, 195], all alter the size and shape of focal spots. While we are assuming that the focal spot does not vary in the course of data collection for a single image, we found no proof of this in the literature. Given the variability in the shape of the focal spot with amperage and voltage [154] and the little explored variation over long time [196], mechanical movement [183, 197] and vibration [98] and other effects requiring physical or algorithmic realignment [198], it would be wise to have a built in, periodic, automatic, digital imaging of the focal spot, its spatial variation, and its position [199] in CT scanners using the FoxelCT algorithm.



Figure 8. Simulation parameters drawn to scale. Based on the parameters of contemporary body scanners (Table 3), we have chosen to simulate a gantry of diameter 2r = 870 mm with a fan beam angle of $\Phi = 57^{-}$ subtending 865 detector pixels. The full ring, in fourth generation CT configuration, would have 2733 detector pixels. The detector pixels are 1 mm wide, as are the 512 x 512 voxels in the slice. A bow tie filter [208] is not simulated. Note that at some gantry angles the fan beam does not include the whole cross section of this 199 lb = 90.3 kg Visible Human Male [209], which has been gray scale reversed here so that "air" (see Figure 9) shows as white. The inner circle shows which voxels are included in the fan beam at all angles. It is sometimes apparent in individual reconstructed images.

that the physical properties of the source are known and independent of time. Note that this definition of *S* includes the possibility of multiple simultaneous x-ray sources [4], each of which would have its own focal spot. Thus, while we speak here of a single focal spot, the mathematics allows multiple focal spots.

We will also assume that the gantry takes on *G* discrete positions or configurations, i.e., that there is no motion during acquisition of a given projection g, g=1,...,G. This will accommodate classical and inverse-geometry CT systems [238, 239], including those with multiple detector arrays and source arrays [240]. Gantries that move during projection data acquisition, causing motion blurring of the data [241], would require a more detailed treatment.

The reading at each detector pixel will be $D_E(\alpha, \beta, g)$ indexed by $\alpha = 1,...,\alpha_{max}$, $\beta = 1,...,\beta_{max}$, g=1,...,G. Since the detector pixels generally correspond to physical detector elements, this array is not an approximation. However, we will take a D_E value to be an integral over its detector element, while approximating its location by the center of the detector element. We will assume that the average distance between nearest neighbour detector pixels is the constant *d*. The arrangement of the detector elements is otherwise not restricted, allowing planar, cylindrical or spherical geometries of the detector array, for instance.

Physically the focal spot is a continuous function, so its digital representation as an emitting image needs to be defined. Focal spots can be quite irregular in shape (Figure 7). For convenience, we will assume that the focal spot $S(u, v, \kappa, \mu) \ge 0$, where (u, v) is a coordinate system with the center of the focal spot at (u, v) = (0,0), and that it is contained within a rectangle chosen to have sides that are parallel

Table 3. Contemporary CT body scanners. Data from [212]. We assume that the reported "Elements per row" = "# of detector pixels hit by fan beam", that the gantry diameter is the distance between the x-ray focal spot and the detector pixels, and that both the minimum and maximum focal spot sizes are parallel to a section. Parameters calculated from the data are indicated by *. In calculating the section widths of the detector elements we are assuming that the detectors and the x-ray focal spot are the same distance from the center of rotation. While this assumption may not be exact for the individual scanners listed here, it is a special case of both third and fourth generation CT scanners [213, 214] (Figure 6). The last row shows the values we chose for the simulations in this paper. We have selected the largest fan beam angle Φ represented by these commercial whole body scanners, the smallest gantry diameter, and a detector pixel width that matches the voxel width in the CT scans of the Visual Human Male [209], which we take as 1 mm. We consider focal spot sizes we found for a rotating anode x-ray tube (8 mm in [134-136] and an estimated 9.2 mm in [59]) (Table 1). Our work therefore could extend the narrow range of focal spot sizes used in contemporary CT scanners, 0.5 to 1.6 mm in this table, by an order of magnitude.

Company/ Model	Year	# rows of detectors	Width of detector block (mm)	*z-width of detector pixels (mm)	# of detector pixels hit by fanbeam	Fan beam angle (degrees)	Gantry diameter (2 <i>r</i> , mm)	*Section width d of detector elements (mm)	Smallest focal spot width (mm)	Largest focal spot width (mm)	*log ₁₀ (largest focal spot width/gantry diameter)
Hitachi											
Supria 16	2014	32	20	0.625	880	51.78	920	0.472	0.7	1.4	-2.82
Scenaria 64	2010	64	40	0.635	888	51.78	880	0.448	0.7	1.4	-2.80
Scenaria Advanced 128	2011	64	40	0.625	888	51.78	880	0.448	0.7	1.4	-2.80
Neusoft											
NeuViz 16	2008	16	24	1.5	672	52	890	0.601	0.5	1.3	-2.84
NeViz64i	2012	64	20	0.3125	672	52	890	0.601	0.6	1.2	-2.87
NeuViz 64c Cardiac (Basic)	2012	64	20	0.3125	672	52	890	0.601	0.6	1.2	-2.87
Philips											
IQon Spectral CT	2015	128	40	0.3125	1344	52	970	0.328	0.6	1.2	-2.91
iCT Elite	2015	128	80	0.625	672	52	970	0.655	0.6	1.2	-2.91
iCT SP	2015	64	40	0.625	672	52	970	0.655	0.6	1.2	-2.91
Ingenuity Elite	2015	64	40	0.625	672	54	940	0.659	0.5	1.0	-2.97
Ingenuity Core	2015	64	40	0.625	672	54	940	0.659	0.5	1.0	-2.97
Ingenuity Flex32	2015	16	24	1.5	672	57	940	0.696	0.5	1.0	-2.97
Ingenuity Flex	2015	16	24	1.5	672	57	940	0.696	0.5	1.0	-2.97
Brilliance CT Big Bore	2015	16	24	1.5	816	57	970	0.591	0.5	1.0	-2.97
MX 16EVO2	2015	16	24	1.5	672	57	890	0.659	0.5	1.3	-2.84
Toshiba											
AQ One Family	2015	160	160	1.0	896	49.2	1070	0.513	0.8	1.6	-2.83
AQ Prime Series	2015	40	40	1.0	896	49.2	870	0.417	0.8	1.6	-2.74
AQ RXL	2011	32	32	1.0	896	49.2	960	0.460	0.8	1.6	-2.78
Simu- lation	2016	1	1 or 3	1 or 3	433	57.03	870	1.0	0	21	-1.62

to the grid directions of a rectangular detector array. The coordinates (u, v) can represent any 2D manifold, such as the curvature of a rotating anode, which is opposite the curvature depicted in Figure 6. The angular coordinates (κ, μ) take into account that the intensity of the x-ray emission is angle dependent. This is the angular component of the heel effect, which also includes alteration of the x-ray spectrum [242], which we ignore for now, as we are focussing on geometric effects. Even without a heel effect, each detector pixel "sees" the focal spot rectangle from a different angle [120], and since this is a geometric effect, we take it into account in the general case.

The width f_w and height f_h of the rectangle containing the focal spot are chosen to be odd integer multiples of the detector pixel width *d*. Outside of this rectangle we assume no source of x-rays, i.e., $S(u, v, \kappa, \mu) = 0$. Since

we are considering broad x-ray focal spots, we allow the rectangle to be curved in one (as in Figure 6) or both directions.

We use two different arrays to represent the continuum focal spot in our calculations: emission finite elements and foxels. Both are generally different digitization's of the same function $S(u, v, \kappa, \mu)$.

We designate the array of emission finite elements as $E(\psi, \phi, g)$, $\psi = 1..., \psi_w, \phi = 1, ..., \phi_w$, where g allows us to calculate the position of each emission finite element relative to the (x, y, z) coordinate system. The index g also implicitly includes the angle components of S (u, v, κ, μ) , and $E(\psi, \phi, g)$ is an integral over an appropriate patch of S (u, v, κ, μ) . The emission finite elements are used for the forward calculation, namely creating an estimate of the projection of U(x, y, z)onto the detector array. The centers of the finite elements are used for



Figure 9. Visible Human Project samples. (a): Each image is a 512x512 pixel png file with 8-bit (0 to 255) gray values representing a slice of a CT scan of a human male taken before the cadaver was frozen and physically sliced [209]. Left column: A CT scan slice of the head, labelled in the Visible Human database as c_vm1125 [210]. Surface bumps are cross sections of tubing containing a contrast solution. Center column: A thorax CT scan slice through the lungs, labelled c_vm1451. Right column: CT scan slice through the abdomen, labelled c_vm1671. The head slice thickness is 1 mm and the thorax and abdomen slices are 3 mm thick. Note that the standard radiology convention for viewing CT images is that the right side of the image corresponds to the patient's left side, and vice versa. (b): Histograms of row (a). (c): The cadaver was embedded in an immobilizing foam, which we have here digitally removed around the body and table using the Compositor function Quickbrush [211], setting its pixel values to zero, to represent air. These images are used for the function U(x, y, z). (d): In the histograms of row (c) we now have a sharp air peak at 0 on the left. (e): A brightened version of the images in row (c), using the Autolevel function in Compositor, for the sake of making the anatomy more visible. (f): Bitmasks of row (c) showing air in black. (g): A bitmask consisting of the cadaver plus the immobilizing foam in white. The streaking artefact is from the CT scanner algorithm that was used to produce the images in the row (a).

the calculation. We may refer to all of the line segments (rays) from all of the focal spot finite element centers to all of the detector element centers as the forward x-ray light field.

To represent the projection of finite element (ψ , ϕ , g) onto detector pixel $D_E(\alpha, \beta, g)$ we use the function $W_E(\alpha, \beta, \psi, \phi, x, y, z, g)$. The function W_E describes a weight between zero and one, which we base on a line between the centers of finite element (ψ , ϕ , g) and detector pixel (α, β, g). It includes the angular information in $S(u, v, \kappa, \mu)$. The line weights W_E are generated using the antialiasing Xiaolin Wu line algorithm [243] (Figure 10). Our use of the Wu algorithm, instead of the standard CT Mueller, Siddon and Joseph algorithms [244-246], provides a simple solution to the problem of assigning a weight to the intersection of a ray with a voxel [180]. A three-dimensional version is available [247].

Therefore, the measurements from which we reconstruct CT images are the set of all detector pixel readings:

$$D_{E}(\alpha,\beta,g) = \sum_{\psi=1}^{\psi_{w}} \sum_{\phi=1}^{\phi_{w}} E(\psi,\phi,g) \sum_{x=1}^{x_{max}} \sum_{y=1}^{x_{max}} \sum_{z=1}^{x_{max}} U(x,y,z) W_{E}(\alpha,\beta,\psi,\phi,x,y,z,g)$$
(1)

The subscript *E* on D_E is a reminder that the finite elements are used in this calculation. Note that Equation 1 includes a sum over all finite element rays from the focal spot to the detector pixel, analogous to Figure 6c. These concatenated rays form a "compound ray", an object that is no longer a simple line, but rather a bundle of lines. This conforms to our generalization of a ray as a "passage", i.e., any weighted subset of voxels, no matter what its shape or position [180]. The use of compound rays removed the instabilities inherent in our previous approach [2, 7, 171], in which we attempted to estimate the ray sum for each individual subray from (ψ , ϕ , g) to detector pixel (α , β , g) in an algorithm we called SuperMART (Superresolution MART). Nevertheless, while FoxelCT directly calculates values for each voxel, it also, in effect, allows each subray to acquire its own subray sum. It thus also reconstructs the x-ray light field.

Generation of an Image using Foxels

We represent the focal spot as a 2D array of foxels F(a, b, g), $a = 1,...a_w$, $b = 1,...b_h$. Note that F(a, b, g) is an integral over an appropriate patch of $S(u, v, K, \mu)$. These are used for the back projection, calculating the reconstructed image A(x, y, z) from the detector pixel readings $D_E(\alpha, \beta, g)$. In general, it is not a good idea for the rays coming from the finite elements to coincide with the rays from the foxels. The reason is that when they coincide we create what are known as pseudoprojections [181, 248, 249], which can lead to deceivingly accurate reconstructions. With foxels we are dealing with pseudoprojections when $a_w = \psi_w$ and $b_h = \phi_h$, making the rays and voxel weights identical for the forward and reverse calculations. Except for the pseudoprojection case, the patches of $S(u, v, \kappa, \mu)$ used for



Figure 10. Two rays drawn using the Wu antialiasing line drawing algorithm [243] and the user interface. (a): Left, two Wu rays. (b):On the right is a close-up showing the weights assigned to individual pixels along the rays.

calculating $E(\psi, \phi, g)$, and F(a, b, g) are different, and so are the rays and weights. When a focal spot is treated as if it were a point source, $(a_{a}, b_{a}) = (1, 1)$.

To represent the projection of foxel (a, b, g) onto detector pixel $D_{E,i}(\alpha, \beta, g)$ at iteration *i* we use the function $W_F(\alpha, \beta, \psi, \phi, x, y, z, g)$. The function W_F describes a weight between zero and one, which we base on a line between the centers of detector pixel (α, β, g) and foxel (a, b, g) and includes the angular information in $S(u, v, \kappa, \mu)$. The centers of the foxels are used for the calculation. The subscript *F* on $D_{E,i}$ is a reminder that foxels are used in this calculation. We may refer to all of the line segments (rays) from all of the focal spot foxels to all of the detector element centers as the reverse x-ray light field. Like W_E, W_F returns values based on the Wu line algorithm.

The MART algorithm works by taking the current estimate for U(x, y, z), namely $A_i(x, y, z)$, and calculating the next estimate $A_{i+1}(x, y, z)$. This is done one ray at a time. In our case here, we are dealing with compound rays, so the estimate for one compound ray from a detector pixel to all foxels contributing to it is:

$$D_{F,i}(\alpha,\beta,g) = \sum_{a=1}^{a_{x}} \sum_{b=1}^{b_{x}} F(a,b,g) \sum_{x=1}^{i_{x}} \sum_{y=1}^{y_{x}} \sum_{z=1}^{i_{x}} A_{i}(x,y,z) W_{F}(\alpha,\beta,\psi,\phi,x,y,z,g)$$
or:
$$D_{F,i}(\alpha,\beta,g) = \sum_{a=1}^{a_{x}} \sum_{b=1}^{b_{b}} \sum_{x=1}^{x_{max}} \sum_{x=1}^{x_{max}} \sum_{x=1}^{x_{max}} W(a,b,\alpha,\beta,\psi,\phi,x,y,z,g) A_{i}(x,y,z)$$
(2)

 $D_{F,i}(\alpha, \beta, g) = \sum_{a=1}^{n} \sum_{b=1}^{n} \sum_{x=1}^{n} \sum_{y=1}^{n} \sum_{z=1}^{n} w(\alpha, b, \alpha, \beta, \psi, \phi, x, y, z, g) = F(a, b, g) W_F(\alpha, \beta, \psi, \phi, x, y, z, g)$ where the weight $w(a, b, \alpha, \beta, \psi, \phi, x, y, z, g) = F(a, b, g) W_F(\alpha, \beta, \psi, \phi, x, y, z, g)$. We have formulated and used the following version of the MART algorithm:

$$\begin{aligned} A_{i,i}(x,y,z) &= \\ \left(\frac{D_{E}(\alpha,\beta,g)}{D_{F,i}(\alpha,\beta,g)}\right) A_{i}(x,y,z)w(a,b,\alpha,\beta,\psi,\phi,x,y,z,g) + (1 - w(a,b,\alpha,\beta,\psi,\phi,x,y,z,g))A_{i}(x,y,z) \end{aligned} \tag{3}$$

The computation is only done when w > 0, i.e., only for those voxels that lie along the compound ray. Equation 3 came to mind because it only corrects the fraction w of a voxel that is involved in the Wu algorithm representation of a ray. Ideally, when all of the voxels in the compound ray are processed, we would have:

$$\sum_{a=1}^{a_{w}} \sum_{b=1}^{b_{h}} \sum_{x=1}^{x_{max}} \sum_{y=1}^{y_{max}} \sum_{z=1}^{z_{max}} w(a,b,\alpha,\beta,\psi,\phi,x,y,z,g) A_{i}(x,y,z) = D_{E}(\alpha,\beta,g)$$
(4)

However, there are a few nuances that have yet to be analyzed in the literature. First of all, the original MART algorithm [215] was formulated for binary weights, w = 0 or w = 1. It has been generalized to include fractional weights by the following methods, which in our present notation become:

$$A_{i+1}(x, y, z) = \left(\frac{D_E(\alpha, \beta, g)}{D_{F,i}(\alpha, \beta, g)}\right) A_i(x, y, z)$$
(5)

where the weights only occur in the calculation of $D_E(\alpha, \beta, g)$ and $D_{F,i}(\alpha, \beta, g)$ [180, 222, 232, 250], and:

$$A_{i+1}(x, y, z) = \left(\frac{D_E(\alpha, \beta, g)}{D_{F,i}(\alpha, \beta, g)}\right)^{\gamma w(a,b,\alpha,\beta,\psi,\phi,x,y,z,g)} A_i(x, y, z)$$
(6)

per [221, 223, 225] (and MART3 in [219]), where γ is a relaxation constant. Equation 5, summed over all voxels in a given ray, satisfies Equation 4, while in general Equation 6 does not. This discrepancy does not seem to have been discussed previously.

With compound rays, we have an additional complication: a given compound ray may intersect a given voxel more than once. This may be seen by rewriting Equation 4 as:

$$\sum_{x=1}^{x_{max}} \sum_{y=1}^{y_{max}} \sum_{z=1}^{z_{max}} A_i(x, y, z) \sum_{a=1}^{a_w} \sum_{b=1}^{b_b} w(a, b, \alpha, \beta, \psi, \phi, x, y, z, g) = D_E(\alpha, \beta, g)$$
(7)

Insofar as the forward and reverse x-ray light fields coincide, the redundancies will have no effect on the results of the calculation. We find convergence anyway using Equation 3 for MART with the Xu algorithm, but further analysis will be needed to analyze the situation, and perhaps come up with yet another way to calculate and/or incorporate the weights. One way to think about the situation is that some voxels are iterated more often than others, which may prove (mathematically) to have little effect on the solution reached upon convergence.

All MART algorithms require an initial nonzero image. We start with the value $A_0(x, y, z) = (U_{max} + 1)/2$, where U_{max} is the maximum value possible value for a voxel, 255 in this paper. Our computer program terminates once some criterion is met, such as a convergence criterion, or it can be run for a specified number of iterations. We define stopping criteria below.

Reduction of 3D equations to the 2D fan beam case

We consider reconstruction of a single slice using FoxelCT, as sketched in Figure 6:

- The patient or object is represented as *U* (*x*, *y*, *z*) with *z* constant, reduced to *U* (*x*, *y*).
- The detector pixels of width *d* are arranged in a one-dimensional arc requiring a single index: D_E (α, β, g) is reduced to D_E (α, g). Their iterated estimates D_{Ei} (α, β, g) are reduced to D_{Ei} (α, g).
- The focal spot $S(u, v, \kappa, \mu)$ is one-dimensional, curved in an arc, with flux independent of angle, reduced to S(u). In this paper, we further reduce *S* to a constant >0. Its area of $f_w f_h$ is reduced to an arc of length f_w , which is taken as an odd multiple of *d*. Thus, the focal spot is a uniform rectangle whose flux is independent of angle.
- The gantry position g is specified by a single angle of rotation θ_g, g=1,...G of a gantry of radius r (Figure 6a).
- The emission finite elements are arranged on an arc, reducing $E(\psi, \phi, g)$ to $E(\psi, g), \Psi = 1..., \Psi_w$.
- The foxels are arranged on an arc, reducing F (a, b, g) to F (a, g),
 a = 1,...,a_w
- The reconstructed image A(x, y, z) is reduced to A(x, y).

Selection of 2D fan beam gantry angle order

It is important to consider the order of using the gantry rotation angles $R = \{\theta_g \ g = 1,...G\}$ in a MART calculation, as it can affect the speed of convergence and the accuracy of image reconstruction [220, 251-254]. This calculation order can be independent of the order in which the angles are chosen for data acquisition, such as consecutive for rotating third or fourth generation CT scanners, or arbitrary, for scanners with multiple fixed [255], independently addressable x-ray sources. Basically, MART works fastest when consecutive angles being computed are as close to orthogonal as possible. To approximate this, we use a sequence of gantry angles inspired by the Multilevel Scheme (MLS) algorithm [251]. The MLS algorithm was originally developed for parallel projections. Our fan beam gantry angle order is calculated recursively in the following manner. We restrict ourselves to $G = 2^L$ equally spaced angles over the range $[0^\circ, 360^\circ)$, where "level" $L \ge 0$ is an integer:

- 1. We start with two mutually perpendicular angles in the string $\lambda = \{0^{\circ}, 90^{\circ}\}$. Let's call these "anchor" angles, and set $R = \lambda$. 0° is initially designated as a "new" anchor angle, and 90° is designated as an "old" anchor angle.
- 2. If the length(R) = G stop else continue.
- 3. For each new anchor angle θ_i :
- a. Generate a string of 4 angles $\Omega_j = \{\theta_j, \theta_j + 90^\circ, \theta_j + 180^\circ, \theta_j + 270^\circ\}$ and concatenate it to the end of *R* to update $\mathbf{R} \leftarrow \mathbf{R} \parallel \Omega_i$.
- b. After this concatenation designate the anchor angle θ_i as "old".
- Between every consecutive pair of anchor angles in λ intercalate the average of the two angles and designate the intercalated angles as "new".
- 5. Go to step 2.

In step 4 there is some liberty in the order in which the new anchor angles are processed. Since each anchor angle generates 4 angles in R, we assume that the effect of this order on the convergence would be minimal, and thus have programmed them in numerical order. The steps generating the first 32 angles are shown in Table 4. A more refined algorithm would use the set of angles for the individual rays between detector pixels and foxels instead of the gantry angles.

Quality control

The quality of the image produced by each algorithm for iteration i can be assessed by comparing the root-mean-square sum of the differences between the "unknown" input test image U(x, y, z) and any given reconstructed image $A_i(x, y, z)$. We took the inverse of this number, so we could achieve a scale that increases with increasing image quality:

$$Q_{2,i} = \left(\sqrt{\frac{1}{(x_{\max} y_{\max} z_{\max} z_{\max})}} \sum_{x=1}^{x_{\max}} \sum_{y=1}^{y_{\max}} \sum_{z=1}^{z_{\max}} \left[\frac{A_i(x, y, z) - U(x, y, z)}{U_{\max}}\right]^2\right)^{-1}$$
(8)

where i=1,..,k and k is the final iteration of the program, as determined by a convergence criterion. While this quality measure cannot be used with an actual unknown, it gives us a good measure of the overall accuracy of the reconstruction algorithm on test pictures U(x, y, z). The quality measure $Q_{2,i}$ is based on an L_2 norm. We also tried the analogous L_1 norm quality measure:

$$Q_{1,i} = 1000 \left(\frac{1}{(x_{\max} y_{\max} z_{\max})} \sum_{x=1}^{x_{\max}} \sum_{y=1}^{y_{\max}} \sum_{z=1}^{z_{\max}} \left[\frac{|A_i(x, y, z) - U(x, y, z)|}{U_{\max}} \right] \right)^{-1}$$
(9)

MART is known empirically [215, 222] and mathematically [216, 222, 223] to maximize the entropy of the reconstructed image, when it is defined as:

$$H_{i} = \frac{-1}{x_{\max} y_{\max} z_{\max}} \sum_{x=1}^{x_{\max}} \sum_{y=1}^{y_{\max}} \sum_{z=1}^{z_{\max}} \frac{A_{i}(x, y, z)}{U_{\max}} \ln \frac{A_{i}(x, y, z)}{U_{\max}}$$
(10)

Calculation of the entropy does not depend on knowing the actual image U(x, y, z).

Stopping criterion

Iterating through the projections multiple times can refine the image, often improving image quality. However, excessive iterations of the algorithm can actually lead to worse image quality when there is an inconsistency in the simultaneous linear equations being solved [180, 181, 198, 248]. Such divergence of image quality with continued

iterations can also be anticipated in our case, as slightly different sets of linear equations (rays) are being used for obtaining the forward projection data (Equation 1) and reconstructing images in a reverse manner from that data (Equation 2). Our stopping criterion is defined, such that we keep iterating through the algorithm until the quality score begins to decline, i.e., passes its first peak. Thus, the final iteration is defined as the last iteration before the decline in image quality. Our computer program allows subsequent iterations, or manual control of iterations, so that one may explore the behavior beyond this first peak. In some cases, the image quality increases monotonically for many iterations, with little improvement in the visual quality of the image. In such instances, we manually stop the run and show the final image.

Implementation

Our foxels software program was developed entirely in Java version 1.8. The program emulates two CT machines: (1) a CT scanner using the foxels MART algorithm, and (2) a CT scanner that regards the focal spot as a point source for the reverse calculation (though not for the forward calculation). The program may be run either using its Graphical User Interface (GUI), or in batch mode from the command line.

In the GUI (Figure 11), the user may try out various settings and images to see qualitatively the difference between the two machines. The command line batch mode can be used to directly generate quality scores, for various parameters.

The forward projection sums in Equation 1 are calculated during the first iteration, and stored for use in subsequent iterations. If it is stopped for any reason, the projection sums are recalculated before proceeding, in case any parameters have been changed. Timings reported represent the actual run time needed for the reconstruction algorithm, not including calculation of the projection sums.

Attempt at optimization of parameters

We have a multidimensional space to explore, even within the confines of the gantry configuration we have chosen (Table 3):

- 1. Φ = fan beam angle (Figure 6a and Figure 8).
- 2. G = number of gantry angles, evenly spaced over 360°.
- 3. Stopping criterion, which determines the number of iterations.
- 4. ψ_w = number of finite elements used to approximate the focal spot as a continuum.
- 5. a_w = number of foxels into which the focal spot is divided for reconstruction.
- 6. $f_w =$ focal spot width, measured in units of detector pixel width.
- 7. d = v, i.e, detector pixel spacing = voxel spacing.

Rather than explore the whole space, we make the assumption that $Q_{n,j}(f, \psi_{w'}, a_w)$, n = 1 or 2 (Equations 9, 10) has a single maximum (i.e., is a convex function), which would then also be true of any transect. First, as patient dose is directly proportional to the number of views, we reduce *G* from our initial G = 256 gantry angles and see how image quality holds up. To maximize the effect of the foxel approach, we start at the high focal spot size f_{high} . The second task is then to find the plateau of $Q_{n,j}(f_{high}, \psi_w, a_w)$, versus the number of finite elements ψ_w , so we can settle on a minimal value of ψ_w, ψ_{min} , as the computing time is heavily dependent on ψ_{min} . The third task is to find the peak of $Q_{n,j}(f_{max}, \psi_{min}, a_w)$ versus a_w , to obtain its peak, a_{max} . We can then explore the effect of increasing f_w on image quality without and with foxels (Figure 6),



DONE! -- rounds: 1; quality - L1: 4.7876; L2: 309.8637

Figure 11. User interface. The FoxelCT user interface is shown after selecting the image to reconstruct, with output shown at one iteration ("round"). The input image is converted to gray scale if it is a color image. The Bressenham "staircase" algorithm for drawing lines[256] was not explored for representing rays in this paper. Alternate convergence (stopping) criteria are: variance method, Q_1 and Q_2 , but the calculations can be continued after stopping. A log of all the input and output parameters and the current reconstructed image can be output by the SAVE command. The PLOT shows the voxel values along a horizontal line through the center of the latest reconstructed image. The SHOW command may be used to display the original input image. However, after clicking SHOW, except for the information in the log, the work done so far is lost. RESET IMAGE deletes the work done so far (except for the log text), allowing a start from scratch. It can be useful when comparing the effects of different parameters on a single image. STOP ends the program with the image that is currently displayed on the screen. That image is updated after every iteration, so the progress of the computation can be followed visually. The file name convention for the output we use in this paper is just the parameters in the order shown here, namely "Lena 3 3 435 57 1 7 W 1" in this example. Note $h = a_w$. In terms of our equations, the values in the first two input rows correspond to $f_w, \Psi_w, a_w, r, \Phi, d, G = 2^{L+1}$.

Table 4. MLS-like algorithm for generating the order for the gantry angles in a MART calculation. At each recursive step the new anchor angles are in **bold**. The last row shows the first 32 angles, in order, generated by our algorithm. Angles are specified in degrees.

Anchor angles λ	Angles in calculation order (R)	L	$G = 2^L$
{0 90 }	{ 0 90 180 225}	2	4
{0 45 90}	{0 90 180 225} {45 135 225 315}	3	8
{0 22.5 45 67.5 90}	{0 90 180 225 45 135 225 315} { 22.5 112.5 202.5 292.5} { 67.5 157.5 247.5 337.5}	4	16
{0 11.25 22.5 33.75 45 56.25 67.5 78.75 90}		5	32

making the assumptions that $\psi_w = \psi_{min} f_w / f_{max}$ and $a_w = a_{max} f_w / f_{max}$.

Results

The FoxelCT computer program was run on a Macintosh iMac (mid-2014 21.5 inch) with a 1.4 GHz Intel Core i5 processor and on a Macintosh iMac (late-2012 27 inch) with a 3.4 GHz Intel Core i7 processor with 32 GB 1600 MHz DDR3 memory and an internal 750GB solid state disk. The computing times are reported for the latter computer. Following standard computer science practice, the order of magnitude for the computing time scales as

 $O(r^2 \Phi G \max(\psi_w, a_w)/d)$. This is verified in Figure 12.

What we have fixed in advance is that all test pictures and patterns are 512x512 voxels (voxels rather than pixels, because they represent slabs with some thickness), with a gray scale of 0 to 255, and the gantry has a radius of 435 voxels (Figure 8). Along with a fan beam of $\Phi = 57^{\circ}$, these parameters are chosen to be representative of contemporary CT body scanners (Table 3). We found that the iteration at which the quality measures based on the L_1 and L_2 norms peaked were sometimes the same, but sometimes quite different. We ran FoxelCT on a few test patterns to check its performance while varying its input parameters:

1. Effect of reducing the angle of the fan beam Φ (Figure 13). Result: $\Phi = 57^{\circ}$ reasonably reconstructs an image except at the margins that are sometimes outside of the fan beam (Figure 8).



Figure 12. Actual computing time versus order of magnitude estimate. This plot of run time estimated as being proportional to a constant times $O(r^2 \Phi G \max(\psi_e, a_e)/d)$ is shown. The proportionality constant is 1.1×10^{-7} with Φ measured in degrees. The outliers are due to the time to calculate the projection sums at the first iteration. This plot is created from a sampling of our runs. The longest run we made, with file name L20 = "Lena 513 1539 439 435 57 1 7 W 30", took 2200 seconds per iteration.

- 2. Effect of the number of views *G* with fan beam angle $\Phi = 57^{\circ}$ (Figure 14). Result: the reconstruction holds up well at *G*=128 or more views. We chose *G*=256 views to be close to the common industry standard of 360 views.
- 3. Effect of the number of iterations. It became clear that the correlation between our image quality measures and the visual impression of quality of the reconstruction was not high (Figure 15). For this reason, we did not try to use the entropy as a proxy measure of image quality. As it is not our purpose here to find the best image quality measure, especially because it may be image and parameter dependent, we allowed our visual impression to override the image quality measures in choosing which iteration to illustrate.
- 4. Effect of varying the number of finite elements into which the focal spot is divided (Figure 16). We tested a focal spot width of $f_w = 21$ voxels wide, i.e., $f_w = 21d$, fixing the number of foxels at $a_w = 1$. From this we decided to use 3 finite elements per voxel of the focal spot width, i.e., a finite element width of d/3.
- 5. Effect of increasing the number of foxels. Again, we used a focal spot width of f_w = 21 voxels, with 63 finite elements, and varied the number of foxels. The image quality at iteration 30 increases up until 18 foxels, after which it collapses completely with further iteration (Figure 17). This behavior may be related to an analogous improvement with and then collapse of Power MART at a critical value of an exponent [221, 225]. This led to the ratio $a_w = (6 / 7) f_w$, which we shall assume is near optimal for all subsequent reconstructions. It is consistent with the observation that "…overaggressive model parameters introduce reconstruction artifacts and degrade resolution" [185].

6. Effect of increasing the focal spot size regarded as a point source versus using foxels. We next considered image quality as the focal spot width f_w is increased from 1 to $513 = 2^9 + 1$ voxel widths. The latter equals the width of the image being reconstructed. Image quality is severely reduced from $f_w = 17 = 2^4 + 1$ if the focal spot is treated as a point source in the CT computation. However, if foxels are used, the focal spot size can be increased roughly by a factor of 4 while retaining equivalent visual image quality of the face of Lena (Figure 18). Visually, the reconstruction with foxels remains recognizable as a face all the way to $f_w = 513$, i.e., with a focal "spot" as wide as the image being reconstructed. While the image quality factors are sustained to larger focal spot sizes (Figure 18 and Figure 19), they cannot be taken as reliable measures of visual image quality.

Having selected a gantry configuration, number of views (gantry angles), number of iterations to reasonable convergence, minimum number of finite elements per unit width of the focal spot, and a maximum threshold for the usefulness of foxels $a_w = (6/7) f_{w}$, we applied these parameters to sections of the Visible Human Male (Figure 20, Figure 21 and Figure 22). Two observations are apparent: 1) the fine detail on the head CT (Figure 20 and Figure 23) does not permit as great an increase in focal spot width f_w as for the Lena test image; 2) approximation of the focal spot as a point source not only results in poorer image quality, but also distorts the geometry of the skull.

Discussion

Our results, summarized in Figure 19, Figure 20D, Figure 21D and Figure 22D, show considerable improvement for the use of foxels over the point source approximation, warranting the use of foxels in any x-ray imaging situation. These images are suboptimal, in that we used the convexity of the parameter space to get somewhere near the optimal peak, but with further exploration via simulation that peak may be discoverable. It is possible that peak performance of foxels is image dependent.

We confined our simulation to a rectangular focal spot of uniform flux and did not consider that different parts of the x-ray focal spot may have different intensities (Figure 7). In other words, we always used E =F = 1 in Equations 1, 2 and 3. As it has been shown that the projection images of small objects are distorted by the pattern of intensity variations in the focal spot [28], with inhomogeneity increasing with tube current [9], any means of improving the uniformity of focal spots is likely to improve imaging. However, the use of foxels could directly correct for nonuniformity. The effect of focal spot nonuniformity on FoxelCT reconstructions has yet to be explored.

Foxels are applicable to all projection radiography, classical tomography in digital form, tomosynthesis, and CT in all its variations of gantries, including inverse geometries, and should improve imaging in every case. However, one may ask if there is an optimal foxel solution to the 3D imaging problem. For an array of point sources of x-rays, optimality has recently been claimed for a particular tetrahedral configuration for cone-beam CT [262]. The authors invoke notions of four flexible detectors that would be wrapped over a spherical cap forming the intersection of a cone beam emanating from one of the four tetrahedrally arranged point sources (Figure 23). As we have illustrated there, a smaller cap representing a broad focal spot could be added, which could be divided into foxels. This is a 3D version of Figure 6. The spherical chamber is not unprecedented. Michael J. Neumann (personal communication, around 1970), brother of John von Neumann [263], proposed a spherical walk-in chamber for positron tomography with detectors over the whole inner surface.

Т	\mathcal{D}	$\Phi = 57^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	\bigotimes	$\Phi = 30^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	B
	Honeycomb		Honeycomb 3 3 3 435 57 1		Honeycomb 3 3 3 435 30 1
$ \Phi = 15^{\circ} Q_{1,50+} Q_{2,50+} $		$\Phi = 5^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$		$\Phi = 1^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	
А	Honeycomb 3 3 3 435 15 1 7 W 50		Honeycomb 3 3 3 435 5 1 7 W 50		Honeycomb 3 3 3 435 1 1 7 W 50
Τ		$\Phi = 57^{\circ}$ $Q_{1.50+}$ $Q_{2.50+}$		$\Phi = 30^{\circ}$ $Q_{1.50+}$ $Q_{2.50+}$	
$\Phi = 15^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	Lena	$\Phi = 5^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	Lena 3 3 3 435 57 1 7 W 50	$\Phi = 1^{\circ}$ $Q_{1,50+}$ $Q_{2,50+}$	Lena 3 3 3 435 30 1 7 W 50
B	Lena 3 3 3 433 15 1 / W 30		Lena 3 3 3 433 5 1 / W 30		Lena 3 3 3 433 1 1 / W 30

Figure 13. Effect of reducing the fan beam angle Φ . **A**: T = a 512x512 voxel binary test pattern "Honeycomb". The reconstructed images show that the center of the image is reconstructed well, with FoxelCT acting as a region of interest algorithm [227, 257, 258]. Focal spot width $f_w = 3$ voxels represented by 3 finite elements, reconstructed with 3 foxels using 8 levels (256 equally spaced gantry angles). "50+" means 50 iterations with the + sign indicating that convergence had not been attained yet and the run was stopped manually. "50" without a plus sign would mean that the computer program stopped automatically at the peak $Q_{1.50}$ or $Q_{2.50}$, whichever norm is designated. **B**: T = a 512x512 voxel halftone test pattern "Lena" [259-261], reconstructed with the same parameters. Note that the inner circle (Figure 8) within which greater accuracy is achieved is now apparent. The reconstructions at $\Phi = 1^{\circ}$ confirm that the coded geometry of the fan beams is properly centered. File names under each reconstructed image correspond to the input parameters in the order shown in Figure 11.



Figure 14. A: Effect of the number of views. Here the fan beam angle is held constant at $\Phi = 57^{\circ}$, and the number of levels *L* is reduced by powers of 2. T = 512x512 binary test pattern, a Quick Read, QR code. Reconstructions are then with 512 down to 4 evenly spaced gantry angles (views). Focal spot width $f_w = 3$ voxels represented by 3 finite elements, foxel width $f_w = 1$ voxel. With one exception (16 views) the peak for the L_2 norm came at fewer iterations than the L_1 norm. The images shown here are for the L_2 norm stopping criterion. Visually further iterations made no difference. A + sign indicates that a peak value hadn't been reached at the specified number of iterations. **B**: Image quality versus number of views or gantry positions equally spaced over 360° shows only a small decline for 256 views, which we used for subsequent simulations.



В



Figure 15. A: Effect of the number of iterations on image quality. For all reconstructions, here we set focal spot width $f_w = 21$ voxels, $\psi_w = 5$ finite elements, reconstructed with $a_w = 11$ foxels. The number of iterations *i* is varied. The fan beam is $\phi = 57^{\circ}$ and G = 256 views are used. Both of the L_1 and L_2 based quality measures $Q_{1,i}$ and $Q_{2,i}$ peaked at iteration *i* = 2. Their values are listed respectively for each iteration. While this is an unlikely set of parameters, with $a_w > \psi_w$, it illustrates that simple image quality measures cannot be used without visually checking on them. **B**: Checking the images against the graph, we have the counterintuitive conclusion that the visual quality increases while the quantitative quality measures decline. Squares are $100Q_{1,i}$ and triangles are $Q_{2,i}$ versus iteration *i*.



B



Figure 16. Effect of the number of finite elements ψ_w used to represent a focal spot. A: For all reconstructions, here we set focal spot width $f_w = 21$ voxels, reconstructed with $a_w = 1$ foxel. Thus, a wide focal spot is treated as if it were a point source. The number of finite elements ψ_w used to represent the focal spot is varied. The fan beam is $\Phi = 57^\circ$ and G = 256 views are used. The first peak iterations for the L_1 and L_2 based quality measures are given. Given the experience of Figure 15, all reconstructions are shown at 30 iterations. B: As real focal spots are a continuum, the image for $\psi_w = 63$ is the most realistic, which represents 3 finite elements per voxel width of the focal spot f_w . The near perfection of the reconstruction with $\psi_w = 1$ is an artefact of a zero width of the finite element representation in this case, since we only use the center point of each finite element in calculating the projection sums. It is also a pseudoprojection situation, as the rays used for the projection sums and reconstruction are identical. Diamonds are $100Q_{1,i}$ and squares are $Q_{2,i}$.



Figure 17. Effect of increasing the number of foxels. A: Here we use a focal spot width of $f_w = 21$ voxels, with 3 finite elements per voxel, i.e., $\psi_w = 63$. The number of foxels h is varied, and all reconstructions are shown at 30 iterations. The first two Q's give the iterations at which they first peaked, followed by their values at iteration 30. At $a_w = 1$ this broad focal spot is treated as if it were a point source. We can see that improvement in image quality over this current practice, as measured at iteration 30, peaks versus number of foxels at $a_w = 18$. The peak image quality as visually assessed seems to be at $a_w = 18$ or $a_w = 19$. Image quality visibly collapses starting at $a_w = 20$ and a few samples at higher values of a_w suggest that it does not return. One clue to this behavior may be that, for instance, for $a_w = 22$, there were second peaks versus literation at the 4th iteration. **B**, **C**: In this set of simulations, the quantitative quality measures correspond to the visual quality. The diamonds show each Q value at its peak iteration and the squares show the Q values at the 30th iteration. The sudden collapse of the image is apparent. This critical number of foxels for the given focal spot width f_w may be some kind of phase transition.

A			
Lena	Focal spot represented by a single point		Focal spot represented by <i>a</i> _w foxels
Τ		$f_{w} = 1 \\ a_{w} = 1 \\ Q_{1,30} = \\ 7.87 \\ Q_{2,30} = \\ 480.9$	
L1	Lena	L2	Lena 1 3 1 435 57 1 7 W 30
$f_{w} = 3 \\ a_{w} = 1 \\ Q_{1,30} = \\ 4.02 \\ Q_{2,30} = \\ 264.2$		$f_{w} = 3 \\ a_{w} = 2 \\ Q_{1,30} = \\ 5.18 \\ Q_{2,30} = \\ 336.8 $	
L3	Lena 3 9 1 435 57 1 7 W 30	L4	Lena 3 9 2 435 57 1 7 W 30
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 2.76 $Q_{2,30} =$ 184.9		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} = 4$ 4.63 $Q_{2,30} = 304.7$	
L5	Lena 5 15 1 435 57 1 7 W 30	L6	Lena 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 2.02 $Q_{2,30} =$ 137.9		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 4.12 $Q_{2,30} =$ 273.0	
L7	Lena 9 27 1 435 57 1 7 W 30	L8	Lena 9 27 7 435 57 1 7 W 30
$f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} =$ 1.70 $Q_{2,30} =$ 118.2	Lena 17 51 1 435 57 1 7 W 30	$f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} =$ 4.09 $Q_{2,30} =$ 269.7	Lena 17 51 14 435 57 1 7 W 30
	Lona 1/ JI I TJJ J/ I / W JU		

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Figure 18. Effect of increasing the focal spot size regarded as a point source versus using foxels, for Lena test image. **A**: Left column: point source. Right column: foxel source. Here we use 3 finite elements per voxel width of the focal spot, i.e., $\psi_w = 3f_w$. Images are at 30 iterations. The focal spot width f_w is increased in powers of 2, with 1 added to get an odd number, so that the fan beam has a central ray. The number of foxels a_w is proportional to the focal spot width. The peak image quality for a focal spot width of $f_w = 21$ was reached with 18 foxels in Figure 17. Here we maintain this ratio: $a_w = [18f_w/21] = \left[\frac{6}{7}f_w\right]$, where the brackets indicate truncation to the nearest integer. For wide focal spots, foxels lead to substantially greater image quality than the point source approximation (consistent with [179]). Wide focal spots also generate a recognizable image at focal spot sizes so large that they are as wide as the image itself. **B**,**C**: The two graphs of image quality measures $Q_{1.30}$ and $Q_{2.30}$ show that the quality is always higher when foxels are used (squares) than approximation of the focal spot as a point source (diamonds). However, these measures do not reflect visual image quality. Note that 0 on the abscissa corresponds to a point source.

×			
Lena	Focal spot represented		Focal spot represented
	by a single point		by a_{w} foxels
Т		$f_{w} = 1$ $a_{w} = 1$ $Q_{1,30} = 7.87$ $Q_{2,30} = 480.9$	
L1	Lena	L2	Lena 1 3 1 435 57 1 7 W 30
$f_{w} = 3$ $a_{w} = 1$ $Q_{1,30} = 4.02$ $Q_{2,30} = 264.2$		$f_{w} = 3 a_{w} = 2 Q_{1,30} = 5.18 Q_{2,30} = 336.8$	
L3	Lena 3 9 1 435 57 1 7 W 30	L4	Lena 3 9 2 435 57 1 7 W 30
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} = 2.76$ $Q_{2,30} = 184.9$		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} = 4.63$ $Q_{2,30} = 304.7$	
L5	Lena 5 15 1 435 57 1 7 W 30	L6	Lena 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} = 2.02$ $Q_{2,30} = 137.9$		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 4.12 $Q_{2,30} =$ 273.0	
L7	Lena 9 27 1 435 57 1 7 W 30	L8	Lena 9 27 7 435 57 1 7 W 30
$ \frac{f = 17}{h = 1} \\ Q_{1,30} = 1 \\ Q_{2,30} = 118.2 $		$ \frac{f = 17}{h = 14} \\ Q_{1,50} = 4.09 \\ Q_{2,30} = 269.7 $	
L9	Lena 17 51 1 435 57 1 7 W 30	L10	Lena 17 51 14 435 57 1 7 W 30

Figure 19. The 512x512 voxel test pattern Lena shows that image quality holds up much better as the focal spot width f_w is increased, here up to 17 times the detector pixel width, than reconstructions approximating the focal spot as a point source. T = input test pattern. Details in Figure 18.

Head	Focal spot represented		Focal spot represented
	by a single point		by a_{w} foxels
Т		$f_{w} = 1$ $a_{w} = 1$ $Q_{1,30} =$ 52.62 $Q_{2,30} =$ 1634.99	
H1	Head	H2	Head 1 3 1 435 57 1 7 W 7
$f_{w} = 3 \\ a_{w} = 1 \\ Q_{1,30} = 20.79 \\ Q_{2,30} = 650.0$		$f_{w} = 3 \\ a_{w} = 2 \\ Q_{1,30} = 20.20 \\ Q_{2,30} = 639.8$	
H3	Head 3 9 1 435 57 1 7 W 30	H4	Head 3 9 2 435 57 1 7 W 30
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} = 14.57$ $Q_{2,30} = 457.0$		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} =$ 16.02 $Q_{2,30} =$ 512.2	
Н5	Head 5 15 1 435 57 1 7 W 30	H6	Head 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 10.47 $Q_{2,30} =$ 3333.5		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 13.17 $Q_{2,30} =$ 422.6	
H7	Head 9 27 1 435 57 1 7 W 30	H8	Head 9 27 7 435 57 1 7 W 30

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D cont'd

Head	Focal spot represented	Contrast	Focal spot represented		
	by a single point	Enhanced	by a_{w} foxels		
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 14.57 $Q_{2,30} =$ 457.0		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} =$ 16.02 $Q_{2,30} =$ 512.2			
H5	Head 5 15 1 435 57 1 7 W 30	H6	Head 5 15 4 435 57 1 7 W 30		
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 10.47 $Q_{2,30} =$ 333.5		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 13.17 $Q_{2,30} =$ 422.6			
H7	Head 9 27 1 435 57 1 7 W 30	H8	Head 9 27 7 435 57 1 7 W 30		
$f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} = 8.1$ $Q_{2,30} = 264.7$		$f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} = 11.49$ $Q_{2,30} = 370.0$			
H9	Head 17 51 1 435 57 1 7 W 30	H10	Head 17 51 14 435 57 1 7 W 30		
$f_{w} = 33$ $a_{w} = 1$ $Q_{1,30} =$ 6.74 $Q_{2,30} =$ 223.6		$f_{w} = 33$ $a_{w} = 28$ $Q_{1,30} = 10.61$ $Q_{2,30} = 340.7$			
HII	nead 55 99 1 455 57 1 7 W 50	H12	nead 55 99 28 435 57 1 7 W 30		

Figure 20. Effect of increasing the focal spot size regarded as a point source versus using foxels for Head CT. A: For caption details see Figure 18. B,C: Graphs show the decline of quantitative image quality as the focal spot size is increased, but do not distinguish the point source approximation from reconstructions made with foxels. D: To enhance the contrast, we have also shown the same reconstructions processed via the Compositor Autolevel function.

А	Thorax	Focal spot represented by a single point		Focal spot represented by a_{w} foxels
	Τ		$f_{w} = 1$ $a_{w} = 1$ $Q_{1,30} =$ 7.87 $Q_{2,30} =$ 480.9	
	T1	Thorax	T2	Thorax 1 3 1 435 57 1 7 W 7
	$f_{w} = 3$ $a_{w} = 1$ $Q_{1,30} = 1$ 13.40 $Q_{2,30} = 602.3$		$f_{w} = 3$ $a_{w} = 2$ $Q_{1,30} =$ 15.59 $Q_{2,30} =$ 697.5	
	T3	Thorax 3 9 1 435 57 1 7 W 30	T4	Thorax 3 9 2 435 57 1 7 W 30
	$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 9.38 $Q_{2,30} =$ 426.4		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} =$ 13.14 $Q_{2,30} =$ 599.0	
	T5	Thorax 5 15 1 435 57 1 7 W 30	Тб	Thorax 5 15 4 435 57 1 7 W 30
	$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 6.66 $Q_{2,30} =$ 304.9		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 11.13 $Q_{2,30} =$ 507.4	
	Τ7	Thorax 9 27 1 435 57 1 7 W 30	Т8	Thorax 9 27 7 435 57 1 7 W 30

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A cont'd



D cont'd

Thorax	Focal spot represented	Contrast	Focal spot represented
	by a single point	Enhanced	by a_foxels
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 9.38 $Q_{2,30} =$ 426.4		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} =$ 13.14 $Q_{2,30} =$ 599.0	
T5	Thorax 5 15 1 435 57 1 7 W 30	T6	Thorax 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 6.66 $Q_{2,30} =$ 304.9		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 11.13 $Q_{2,30} =$ 507.4	
Τ7	Thorax 9 27 1 435 57 1 7 W 30	Т8	Thorax 9 27 7 435 57 1 7 W 30
$f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} = 5.1$ $Q_{2,30} = 234.7$		$f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} =$ 10.23 $Q_{2,30} =$ 464.7	
Т9	Thorax 17 51 1 435 57 1 7 W 30	T10	Thorax 17 51 14 435 57 1 7 W 30
$f_{w} = 33$ $a_{w} = 1$ $Q_{1,30} =$ 4.17 $Q_{2,30} =$ 194.7		$f_{w} = 33$ $a_{w} = 28$ $Q_{1,30} =$ 9.63 $Q_{2,30} =$ 432.8	
T11	Thorax 33 99 1 435 57 1 7 W 30	T12	Thorax 33 99 28 435 57 1 7 W 30

Figure 21. Effect of increasing the focal spot size regarded as a point source versus using foxels for Thorax CT. For caption details see Figure 18 and Figure 20. In this case the quantitative quality measures do distinguish the point source approximation from the use of foxels.

А			
Abdomen	Focal spot represented by a single point		Focal spot represented by <i>a</i> , foxels
Τ		$f_{w} = 1 \\ a_{w} = 1 \\ Q_{1,30} = \\ 32.08 \\ Q_{2,30} = \\ 1326.6$	
A1	Abdomen	A2	Abdomen 1 3 1 435 57 1 7 W 7
$f_{w} = 3 \\ a_{w} = 1 \\ Q_{1,30} = 14.41 \\ Q_{2,30} = 618.6$		$f_{w} = 3 \\ a_{w} = 2 \\ Q_{1,30} = \\ 16.21 \\ Q_{2,30} = \\ 689.4$	
A3	Abdomen 3 9 1 435 57 1 7 W 30	A4	Abdomen 3 9 2 435 57 1 7 W 30r
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 9.83 $Q_{2,30} =$ 431.1		$f_{w} = 5$ $a_{w} = 4$ $Q_{1,30} =$ 13.99 $Q_{2,30} =$ 609.0	
A5	Abdomen 5 15 1 435 57 1 7 W 30	A6	Abdomen 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} =$ 6.90 $Q_{2,30} =$ 308.8		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 11.79 $Q_{2,30} =$ 516.7	
A7	Abdomen 9 27 1 435 57 1 7 W 30	A8	Abdomen 9 27 7 435 57 1 7 W 30
$ f_{w} = 17 a_{w} = 1 Q_{1,30} = 5.21 Q_{2,30} = 237.4 $	Abdomen 17 51 1 435 57 1 7 W	$f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} =$ 10.76 $Q_{2,30} =$ 474.3	Abdomen 17 51 14 435 57 1 7 W
	30	110	30

D cont'	d		
Abdomen	Focal spot represented	Contrast	Focal spot represented
	by a single point	Enhance	by $\boldsymbol{a}_{\boldsymbol{w}}$ foxels
$f_{w} = 5$ $a_{w} = 1$ $Q_{1,30} =$ 9.83 $Q_{2,30} =$ 431.1		$ \frac{a}{f_{w}} = 5 \\ a_{w} = 4 \\ Q_{1,30} = \\ 13.99 \\ Q_{2,30} = \\ 609.0 $	
A5	Abdomen 5 15 1 435 57 1 7 W 30	A6	Abdomen 5 15 4 435 57 1 7 W 30
$f_{w} = 9$ $a_{w} = 1$ $Q_{1,30} = 6.90$ $Q_{2,30} = 308.8$		$f_{w} = 9$ $a_{w} = 7$ $Q_{1,30} =$ 11.79 $Q_{2,30} =$ 516.7	
			a share a second s
A7	Abdomen 9 27 1 435 57 1 7 W 30	A8	Abdomen 9 27 7 435 57 1 7 W 30
A7 $f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} =$ 5.21 $Q_{2,30} =$ 237.4	Abdomen 9 27 1 435 57 1 7 W 30	A8 $f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} =$ 10.76 $Q_{2,30} =$ 474.3	Abdomen 9 27 7 435 57 1 7 W 30
A7 $f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} =$ 5.21 $Q_{2,30} =$ 237.4 A9	Abdomen 9 27 1 435 57 1 7 W 30	A8 $f_{w} = 17$ $a_{w} = 14$ $Q_{1,30} =$ 10.76 $Q_{2,30} =$ 474.3	Abdomen 9 27 7 435 57 1 7 W 30
A7 $f_{w} = 17$ $a_{w} = 1$ $Q_{1,30} =$ 5.21 $Q_{2,30} =$ 237.4 A9 $f_{w} = 33$ $a_{w} = 1$ $Q_{1,30} =$ 4.23 $Q_{2,30} =$ 195.6	Abdomen 9 27 1 435 57 1 7 W 30 Abdomen 17 51 1 435 57 1 7 W 30	A8 $f_w = 17$ $a_w = 14$ $Q_{1,30} =$ 10.76 $Q_{2,30} =$ 474.3 A10 $f_w = 33$ $a_w = 28$ $Q_{1,30} =$ 9.94 $Q_{2,30} =$ 437.3	Abdomen 9 27 7 435 57 1 7 W 30

Figure 22. Effect of increasing the focal spot size regarded as a point source versus using foxels for Abdomen CT. For caption details see Figure 18 and Figure 21.

Figure 23. The tetrahedron scanner. In an optimized tetrahedron scanner, each of the four x-ray sources located on the outer sphere is collimated to a cone of half angle 27°22'. (a) a single cone. (b) all 4 cones shown overlapped, each in a different color. From [262] with kind permission of Ivan B. Ye and SPIE Publications. The inner sphere, which is scanned by all 4 cones, has a radius of 46.0% of the radius of the outer sphere. The authors propose that the four spherical caps defined by the intersections of the cones with the surface of the outer sphere would be covered with flexible electronics containing the detectors. We add the notion that the apex of the cone could be extended from a point to a spherical cap (region with yellow stars added) representing a broad x-ray source that could be virtually represented as an array of foxels.

Rather than coming from a standard x-ray tube, the "focal spot" could be a large area x-ray source such as is used in decontamination devices and the study of electromagnetic pulse effects [97, 114, 137, 264-266]. Flying spot x-ray sources, such as are used in reverse geometry x-ray imaging [267], could possibly provide a moving large area source by defocussing the electron beam.

We have taken advantage of a presumed convexity of the parameter space to obtain what are thereby undoubtedly suboptimal, but provocative results. More massive computations to search the parameter space for actual maxima of visual and quantitative measures of image quality, and to what extent they are object dependent, are warranted.

We decided to do our comparisons by visual criteria using a well known, standard test picture of a young woman, Lena, widely used in computer science [259, 261]. Human perception of image quality of a human face [268] takes into account many factors that are hard to appreciate in quantitative test patterns and quantitative image quality measures. We have already shown that standard CT algorithms, including MART, especially in limited view situations, select what can be the wrong image from the infinite space of solutions to CT equations [2, 235, 269]. Others have shown how compressive sensing algorithms may improve the selection of solutions of underdetermined CT equations [270-274] such as Equation 2. Human guided reconstruction of CT images can exceed [163] the accomplishments of compressive sensing CT. For limited view problems, deconvolution of the point spread function of FoxelCT might further improve imaging [269]. There are thus many opportunities to improve upon and optimize the foxel approach.

We reached the opposite conclusion of another paper: "...that RM [ray modelling] is not necessary in clinical CT to achieve resolution recovery" [125]. We propose the use of large focal spots, rather than considering them "exaggerated" or "unrealistic" [125]. The major differences in our approaches are that these authors fix the detector size at d=1.3 mm, whereas we argue for much smaller detectors for clinical CT, emphasizing that the ratio of focal spot width f_{m} to detector pixel width should be greatly increased, along with the ratio of focal spot width to gantry diameter. They also approximate their $f_{\rm m} = 0.5$ mm focal spot by a Gaussian, whereas we use a rectangular emission distribution, meaning that their effective focal spots are narrower than those we simulate. Given that the ratio $f_w/d = 0.38$ or less, even with their foxel-like simulation, they are simulating what is very close to a point source, which may explain why "no visual improvement could be achieved". Their "needle beams", which correspond to rays from a foxel to a detector pixel, are averaged, whereas we concatenate them into a compound ray that permits different reconstructed values along each "needle beam". We distinguish a finite element representation of the focal spot from the foxels used for reconstruction. Finally, they accept the photon noise limitations per detector measurement, whereas we chose to simulate the ideal case, leaving noise considerations to further work. We think this is reasonable, since there are other ways to reduce noise, such as lowering the number of views while increasing the dose per view, as in CT mammography [275, 276]. This will be especially important for body CT scanners with fixed arrays of x-ray sources (and no moving parts, cf. the Hula-Hoop CT scanner [277]), which may be limited to far fewer than the industry standard of 360 views or more for a rotating gantry.

It may be objected that FoxelCT is a computationally expensive algorithm that, if implemented, could reduce patient throughput. It took about 15 years before the issue of dose reduction in CT was taken seriously (Figure 24). This was an example of a trade-off between patient safety and image quality. Now we are faced with the further trade-off

Figure 4. Papers on tomography dose versus year. The data is via the Topic search tomog* dos* in Web of Science. The earlier papers are on classical tomography. The first paper on dose reduction in CT was published in 1976 [291]. It was thus 15 years before the idea took off.

between image quality (usually presumed to be a measure of diagnostic accuracy) and the computer time needed to achieve higher image quality [2, 186, 201] (Figure 12), especially because faster scanners [4, 274, 278] mean less time to do the computing. Certainly Moore's Law applies here [279, 280], as well as in other domains [281-283], as we have not yet reached limits to computer speed. Projecting 15 years they might be $2^{15} = 32,768$ times faster. Thus, in planning new CT scanners, diagnostic quality should not be sacrificed because of anticipated long computing times. The computers will catch up. For example, each slice could be run in a different thread in a multicore computer [284, 285], and CT algorithms that take advantage of parallel computers [2, 286-290] are available.

Conclusions

Our purely geometric approach places an upper bound on what is achievable with foxels, and thus provides a goal to guide further efforts [278]. The use of foxels (focal spot pixels) in a computed tomography algorithm allows us to increase the ratio of the focal spot width to detector pixel width substantially, by a visually estimated factor of around 2 to 4, without loss of resolution compared to approximating the focal spot as a point source. If this result carries over to three dimensional CT, the area of the focal spot could thereby increase by a factor of 4 to 16, with a corresponding increase in x-ray flux. The standard approximation of the focal spot as a point source not only produces worse images than foxels in terms of image quality measures, but also distorts the anatomy. One should presume that some such distortion is present even for small focal spots approximated as a point source. Foxels allow better trade-offs in terms of scanning speed, patient dose, and spatial resolution than hitherto possible. Foxels should also enhance the resolution of digital projection radiology imaging, by correcting for the penumbra effect.

A new method for calculating the focal spot image as seen from any position in the 3D space between the focal spot and the detector array [292] could make FoxelCT quite practical.

For any given focal spot size, approximating it as a point is always worse than using foxels. Therefore, existing scanners could be immediately enhanced in image quality via incorporation of foxels in their software. Future scanners could take advantage of foxels to increase the ratio of focal spot size to detector pixel size, via bigger focal spots and/or smaller detector pixels.

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Disclosure of Conflicts of Interest

The authors have no conflicts of interest to disclose.

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